

# SIP PAUSE FACILITY FORM

| Distributor Name & Broker Code / ARN / RIA Code | Sub Broker / Agent ARN Code RIA No. | Sub Agent Code | EUIN* | Internal Code for AMC | ISC Date Time Stamp, Sign, Reference No. |
|-------------------------------------------------|-------------------------------------|----------------|-------|-----------------------|------------------------------------------|
|                                                 |                                     |                |       |                       |                                          |

## 1. EXISTING UNIT HOLDER INFORMATION (The details in our records under the folio number mentioned will apply for this application.)

Name of 1<sup>st</sup> Unit Holder:

Folio No.:

## 2. SIP PAUSE REQUEST

SIP Pause request must be submitted 10 Days in advance of the next SIP date. All the above fields are mandatory otherwise request will be liable for rejection.

I / We wish to Pause my Systematic Investment Plan (SIP) for the below given details:

From Scheme: \_\_\_\_\_ Plan: \_\_\_\_\_ Option: \_\_\_\_\_

SIP Installment Amount: ₹ \_\_\_\_\_ SIP Pause Period : Please (✓) ☐ 3 Months Period or ☐ 6 Months Period

SIP Pause Start Date:

Please provide current SIP IH Number as per SOA

I.H No.:

SIP Date:

Debit Bank Name:

## 3. DECLARATION AND SIGNATURES / THUMB IMPRESSION OF APPLICANT(s) [Refer Instructions 2(f) of KIM]

To The Trustees, Mirae Asset Mutual Fund (The Fund) – (A) Having read and understood the contents of the SID/SAI/KIM of the Scheme applied for (Including the scheme(s) available during the New Fund Offer period); I/We hereby apply for units of the said such scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme. (B) I/We hereby declare that the amount invested in the scheme is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any provisions of the Income Tax Act, Anti Money Laundering Laws or any other applicable laws enacted by the Government of India from time to time. (C) Signature of the nominee acknowledging receipts of my/our credit will constitute full discharge of liabilities of Mirae Asset Mutual Fund. (D) The information given in / with this form is true and correct and further agrees to furnish additional information sought by Mirae Asset Investment Managers (India) Private Limited (AMC) / Fund and undertake to update the information/details with the AMC / Fund/Registrars and Transfer Agent (RTA) from time to time. I/We hereby confirm that the AMC/Fund shall have the right to share my information and other details with the regulatory and government authorities as and when needed. I/We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my/our transactions. (E) I/We further declare that "The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. (F) I/We hereby confirm that I/We have not been offered/ communicated any indicative portfolio and/ or any indicative yield by the Fund/AMC/its distributor for this investment. I/We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. (G) Applicable to Investors availing the online facility: I/We have read, understood and shall be bound by the terms & conditions of the PIN agreement available on the AMC website for transacting online. (H) RIA: I/We hereby agree to consent the AMC to share my transaction details to the registered investment advisor (RIA) through the registrar or otherwise. (I) Applicable to Foreign Resident's Residing in India: I/We confirm that I/We satisfy the Residency test as prescribed under FEMA provisions. I/We further declare that I/We am/are "Person Resident in India" and are allowed to invest into the Scheme as per the said FEMA regulations and other applicable laws and regulations. (J) I/We confirm that I am / We are not United States person(s) under the laws of United States or resident(s) of Canada. In case of change to this status, I / We shall notify the AMC, in which event the AMC reserves the right to redeem my / our investments in the Scheme(s). (K) FATCA/CRS Certification: I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me / us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions and hereby accept the same. In case the above information is not provided, it will be presumed that applicant is the ultimate beneficial owner, with no declaration to submit. In such case, the concerned SEBI registered intermediary reserves the right to reject the application or reverse the allotment of units, if subsequently it is found that applicant has concealed the facts of beneficial ownership. I/We also undertake to keep you informed in writing about any changes/modification to the above information in future & also undertake to provide any other additional information as may be required at your end. (L) Aadhaar: I/We hereby voluntarily submit Aadhaar card to the Fund/AMC for updating the same in my folio.

Signature of 1st Applicant/Guardian/  
Authorised Signatory/PoA/Karta

Signature of 2nd Applicant/Guardian/  
Authorised Signatory/PoA

Signature of 3rd Applicant/Guardian/  
Authorised Signatory/PoA

## 4. INSTRUCTIONS FOR SIP PAUSE FACILITY

- Investor can Pause SIP at any time by filling requisite details in the SIP Pause Facility form and submitting the same at any branch of Mirae Asset Mutual Fund or any of its Registrars Office. Pause request should be received 10 days prior to the subsequent SIP date.
- SIP Pause facility is available for SIP registration with Monthly frequency only.
- Investor has choose a Pause period of 3 months or 6 months. Investor has to mention the SIP Pause Start Date. In case, the pause period is not specified, the AMC reserves the right to reject the Pause request.
- SIP shall restart immediately after the completion of Pause period. If the SIP Tenure is completing during the SIP Pause period, the SIP will be auto terminated.
- Investor can opt for pause facility only twice\* during the tenure of particular SIP from SIP Pause Form.
- SIP Pause facility will not be available for the SIPs sourced/registered through MFU, Exchange & Channel platforms as the mandate is registered by them.
- If the SIP Pause period is coinciding with the Top-Up facility, the SIP instalment amount post completion of pause period would be inclusive of SIP Top-up amount. For e.g. SIP instalment amount prior to Pause period is Rs.2,000/- and Top-up amount is Rs.1,000/-. If the pause period is completed after date of Top-up, then the SIP instalment amount post completion of pause period shall be Rs.3,000/-.
- Investor will need to submit separate Pause Application forms for different SIPs. One Pause form will not work for multiple SIPs registered in a scheme. The submitted SIP Pause facility form will be made applicable only for the IH Number or the Reference Number mentioned for the intended SIP which requires to be paused. Separate SIP Pause Forms are required to be submitted for each SIP mandate, even if multiple SIPs are registered in the same scheme.
- The AMC reserves the right to terminate this facility or modify the conditions of the SIP Pause facility at its discretion.
- In case of discrepancies in the information provided in the SIP Pause Form and the details registered with the AMC, the details registered with the AMC shall be considered for processing or in case of ambiguity in the SIP Pause Form, the AMC reserves the right to reject the SIP Pause Form.
- Investor cannot cancel the SIP Pause once registered.
- Mirae Asset Mutual Fund or the AMC - Mirae Asset Investment Managers (India) Pvt. Ltd., its registrars and any other service providers shall not be responsible and liable for any damages / compensation for any loss or charges incurred by the investor arising out of delay or technical aspects of registering the Pause facility. The investor assumes the entire risk of using this facility and takes full responsibility.

\*Investors are requested to note that in partial modification to the Notice Cum Addendum no. AD/4/2021 dated March 09, 2021, the SIP Pause facility can be availed twice during the tenure of a particular SIP. All other terms and conditions of the Facility remains the same.

| SIP PAUSE APPLICATION<br>ACKNOWLEDGEMENT SIP | Received Application for SIP Pause Facility under IH Number : _____ Folio No. : _____ as per details below:                                                                                                                                                                                                                                                                                                                                                                                                          |                                      |                                         |                                         |  |                                                                                                                                                                                                                                                                                      |                                      |
|----------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|-----------------------------------------|-----------------------------------------|--|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|
|                                              | <table> <tr> <th>Scheme Name and Plan</th> <th>Pause Details</th> <th>Date &amp; Stamp of Collection Centre / ISC</th> </tr> <tr> <td></td> <td> <p>SIP Pause Period : Please (✓) <input type="checkbox"/> 3 Months Period or <input type="checkbox"/> 6 Months Period</p> <p>SIP Pause Start Date: <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/></p> </td> <td rowspan="2">Subject to verification of documents</td> </tr> </table> | Scheme Name and Plan                 | Pause Details                           | Date & Stamp of Collection Centre / ISC |  | <p>SIP Pause Period : Please (✓) <input type="checkbox"/> 3 Months Period or <input type="checkbox"/> 6 Months Period</p> <p>SIP Pause Start Date: <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/></p> | Subject to verification of documents |
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