SBI MUTUAL FUND
A PARTNER FOR LIFE

FINANCIAL TRANSACTION

(Use separate transaction slip for each Transaction)

FOR EXISTING INVESTOR ONLY

For Systematic Transfer Plan / Systematic Withdrawal Plan

Name & ARN Code / RIA Code / PMRN	Branch Code (Only for SBG)	Sub-Broker ARN Code	Sub-Broker Code	EUIN* (Employee Unique Identification Number)	Employee/ Reference No.

Declaration for "Execution-only" transaction (where the above EUIN box is left blank & no investment advice is solicited) / Registered Investment Advisor (RIA) Transaction:
* I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.
** By mentioning RIA code, I / we authorize you to share with the SEBI Registered Investment Adviser (RIA), the details of my / our transactions in the scheme(s) of SBI Mutual Fund.

SIGNATURE (S)	1st Holder/Authorised Signatory/Guardian	2nd Holder/Authorised Signatory	3rd Holder/Authorised Signatory

Folio No.		Name of Investor	

Any alterations / corrections made on the Transaction slip needs to be counter signed by the unit holder(s).

SYSTEMATIC TRANSFER PLAN (STP)
(Please check applicable Exit Load , if any)

From Scheme Name	
Plan	☐ Regular ☐ Direct
Option	☐ Growth ☐ IDCW (Dividend) ☐ Daily ☐ Weekly ☐ Fortnightly ☐ Monthly ☐ Quarterly ☐ Half Yearly ☐ Annually
STP Type	☐ Regular ☐ CASTP ☐ Flex STP ☐ Swing STP
STP Installment Amount	Rs. ________ (Amt. in figures) Rs. ________ (Amt. in words)
STP Frequency and Date (Select the date after 7 calendar days for execution of request)	☐ Daily ☐ Monthly (Default) ☐ Quarterly: ☐ 1 ☐ 5 ☐ 10 (Default) ☐ 15 ☐ 20 ☐ 25 ☐ 30 ☐ ________ Any other date from 1st to 30th ☐ Weekly: ☐ Date (1, 8, 15, 22) (OR) ☐ Day ________ (Please specify) (Monday to Friday)
STP Period	FROM TO
To Scheme Name	
Plan	☐ Regular ☐ Direct
Option	☐ Growth ☐ IDCW (Dividend) ☐ Daily ☐ Weekly ☐ Fortnightly ☐ Monthly ☐ Quarterly ☐ Half Yearly ☐ Annually
IDCW Facility	☐ Payout ☐ Reinvest
For Swing STP	☐ Normal STP ☐ Top- up STP ☐ Top up Amount ________ ☐ Top up Percentage ________annualised Whether existing investment amount in Target scheme to be considered for calculation of Swing STP amount ☐ Yes ☐ No

SYSTEMATIC WITHDRAWAL PLAN (SWP)
(Please check applicable Exit Load, if any)

Scheme Name	
Plan	☐ Regular ☐ Direct
Option	☐ Growth ☐ IDCW (Dividend) ☐ Daily ☐ Weekly ☐ Fortnightly ☐ Monthly ☐ Quarterly ☐ Half Yearly ☐ Annually
IDCW Facility	☐ Payout ☐ Reinvest
SWP Installment Amount	Rs. ________ (Amt. in figures) Rs. ________ (Amt. in words)
SWP Frequency and Date (Select the date after 7 calendar days for execution of request)	☐ Monthly (Default) ☐ Quarterly ☐ Half Yearly ☐ Annual: ☐ 1 ☐ 5 ☐ 10 (Default) ☐ 15 ☐ 20 ☐ 25 ☐ 30 ☐ ________ Any other date from 1st to 30th ☐ Weekly: ☐ Date (1, 8, 15, 22) (OR) ☐ Day ________ (Please specify) (Monday to Friday)
SWP Period	FROM TO (OR) ☐ Perpetual
SWP Payout Bank (payment will be made only to the Registered Bank account)	☐ To my Default Bank account Registered in the Folio (OR) ☐ To the following other Bank account Registered in the Folio Bank Name Bank Account Number

SIGNATURE(S) (Please sign as per mode of holding)

I/We have read & understood the contents of the Scheme Information Document, KIM and Addendum(s) of the respective Scheme(s) and agree to abide by the Terms & Conditions, Rules & Registrations as applicable from time to time.

Signature of 1st Holder / Guardian / Authorised Signatory

Signature of 2nd Holder

Signature of 3rd Holder

Date: Place:

ACKNOWLEDGMENT

Investor Name	Folio No.
Scheme Name	To Scheme Name
Plan ☐ Regular ☐ Direct; Option ☐ Growth ☐ IDCW	Plan ☐ Regular ☐ Direct; Option ☐ Growth ☐ IDCW
☐ STP / ☐ SWP Amount (Rs.) Frequency	ARN No.: EUIN No.:

INSTRUCTIONS FOR STP

STP is a combination of systematic withdrawal from one scheme and systematic investment into another scheme. Therefore the minimum amount of withdrawals applicable under SWP would be applicable to STP also. Similarly the minimum investment applicable for each scheme under SIP would be applicable to STP also. STP facility would allow investors to transfer a predetermined amount from one scheme of the Mutual Fund to the other. The transfer would be processed on any business day as decided by the investor at the time of opting for this facility.

Terms and conditions of STP:

1. Allowed in all open ended scheme as source and target schemes (except Daily/ Weekly Dividend Options of all schemes as both source and target schemes) for STPs of all available frequencies.
2. Investor can transfer the amount from the switch-out scheme, subject to a minimum transfer of Rs.1000 and in multiples of Rs. 1 thereafter per month for 6 months, Rs. 500 and in multiples of Rs. 1 thereafter per month for 12 months and Rs. 1500 and in multiples of Rs. 1 thereafter per quarter for one year for other equity schemes, without any restriction on maintaining the minimum balance requirement as stipulated for the switch out scheme.
3. Where, SBI Long term equity fund is the target scheme, Minimum number of instalments for monthly STP & quarterly STP shall be 6.
4. Minimum amount of STP for SBI Long term equity fund will be Rs. 500 & in multiples of Rs. 500 for both daily & weekly STP and for other schemes the minimum amount of STP will be Rs. 500 and in multiples of Rs. 1 thereafter for daily STP & Rs. 1000 and in multiples of Rs. 1 thereafter for weekly STP.
5. Minimum number of instalments will be 12 for daily STP & 6 for weekly STP.

General terms and conditions for STP, Flex STP and CASTP

1. Exit load shall be as applicable for all transfers in the target/source schemes.
2. The enrolment / termination request should be submitted, at least 7 days prior to the desired commencement / termination date.
3. In case start date is mentioned but end date is not mentioned, the application will be registered for perpetual period.
4. In case investor chooses an STP date prior to the date of registration, the STP will get registered from the next available date as per the frequency opted by investor.

Flex Systematic Transfer Plan (Flex STP):

Flex Systematic Transfer Plan is a facility wherein an investor under a designated open-ended scheme can opt to transfer variable amounts linked to the value of his investments on the date of transfer at pre-determined intervals from designated open-ended scheme (source scheme) to the Growth option of another open-ended scheme (target scheme).

Terms and conditions of Flex STP:

1. Flex STP is available for Monthly and Quarterly frequencies.
2. Flex STP is available only in “Growth” option of the target scheme.
3. If there is any other financial transaction (purchase, redemption or switch) processed in the target scheme during the tenure of Flex STP, the Flex STP will be processed as normal STP for rest of the instalments for the fixed amount.
4. In case the amount (as per the formula) to be transferred is not available in the source scheme in the investor’s folio, the residual amount will be transferred to the target scheme and Flex STP will be closed.

Capital Appreciation Systematic Transfer Plan (CASTP):

CASTP is a facility wherein an investor can opt to transfer the entire capital appreciation linked to the value of his investments on the date of transfer at pre-determined intervals from designated open-ended scheme (source scheme) to another open-ended scheme (target scheme).

Terms & conditions for Capital Appreciation Systematic Transfer Plan (CASTP):

1. Investor can avail this facility by submitting the request to transfer entire capital appreciation, subject to minimum of Rs. 100 on any business day.
2. Source scheme: This facility is available only under Growth option of all open ended schemes [except Equity Linked Savings Scheme & Exchange Traded Funds (ETFs)] of SBI Mutual Fund.
3. Target scheme: All open ended schemes except ETFs and daily dividend option.
4. The facility is available for weekly, monthly & quarterly frequencies.
5. Minimum number of instalments will be 6 for weekly and monthly frequencies and 4 for quarterly frequency.
6. Capital appreciation, if any, will be calculated from the enrollement date of the CASTP, till the first transfer date. Subsequent capital appreciation, if any, will be the capital appreciation between the previous CASTP date (where CASTP has been processed and transferred) and the current CASTP date.
7. In case end date is mentioned but start date is not mentioned, the application will be registered after the expiry of 10 days from the submission of the application for the date of transfer mentioned in the application, provided the minimum number of instalments is met.

Swing STP:

Swing STP is a facility wherein investor can opt to transfer an amount at regular intervals from source scheme of SBI Mutual Fund (SBIMF) to a target scheme of SBIMF including a feature of reverse transfer from target scheme into the source scheme, in order to achieve the targeted market value on each transfer date in the target scheme. This ensures that the market value on each date of the transfer rises by a specified amount at every frequency irrespective of the market price.

Terms & conditions of Swing STP are as follows:

1. Source scheme: All open ended schemes (Excluding Sbi Long Term Equity Fund, SBI Magnum Children Benefit Fund-Savings Plan, SBI Magnum Children Benefit Fund-Investment Plan and ETF schemes) of SBI Mutual Fund.
2. Target scheme: Growth option in all open ended schemes (Excluding Sbi Long Term Equity Fund, SBI Magnum Children Benefit Fund-Savings Plan, SBI Magnum Children Benefit Fund-Investment Plan and ETF schemes) of SBI Mutual Fund.
3. Frequency: Weekly, Monthly and Quarterly intervals. In case the Frequency is not indicated, Monthly frequency shall be treated as the Default Frequency.
4. Dates: The dates of transfers/ default dates shall be as under:

Frequency	Dates of Transfers	Default Date
Weekly Interval	1^{st}, 8^{th}, 15^{th} & 22^{nd} of every month. or Any day _________ (Monday to Friday)	For Day based, Wednesday will be considered as default if investor has not selected any day.
Monthly Interval	1^{st}, 5^{th}, 10^{th}, 15^{th}, 20^{th}, 25^{th} & 30^{th} (In case of February last working day)	10th of every month
Quarterly Interval	1^{st}, 5^{th}, 10^{th}, 15^{th}, 20^{th}, 25^{th} & 30^{th} (In case of February last (In case of February last working day) The beginning of the quarter could be any month e.g. January, May, November, etc.	10th of every-quarter

In case the date of transfer falls on a non-Business Day, the immediate next Business day will be considered for the purpose of determining the applicability of NAV and processing the transaction.

5. The minimum amount for the first installment shall be as follows:
   - Weekly & Monthly frequency: Rs. 1,000 and in multiples of Re. 1
   - Quarterly frequency: Rs. 3,000 and in multiples of Re. 1
6. Minimum number of installments
   - Weekly & Monthly frequency: 12
   - Quarterly frequency: 4
7. If there is any other financial transaction (purchase / redemption / switch / SIP / DTP etc.) processed in the target scheme/plan/option during the tenure of Swing STP, the Swing STP will be processed as normal STP for the rest of the installments for the fixed amount.
8. Investors have an option to consider the existing investments in target scheme for calculating swing STP amount.
9. The redemption/switch-out of units allotted in the target scheme shall be processed on First In First Out (FIFO) basis.
10. The provision of ‘Minimum Redemption Amount’ as specified in the Scheme Information Document of the source scheme (target scheme in case of Reverse Transfer) and ‘Minimum Purchase Amount’ specified in the Scheme Information Document of the target scheme (source scheme in case of Reverse Transfer) will not be applicable for Swing STP.
11. In case the Start Date is not mentioned, the application will be registered after expiry of 10 days from submission of the application as per the default date i.e. 10th of each month / quarter (or the immediately succeeding Business Day). In case the End Date is not mentioned, the application will be registered for perpetual period.
12. Load structure prevalent in source & target schemes (for reverse transfer) at the time of Swing STP registration will be applicable during the tenure of the Swing STP.
13. Swing STP will be automatically terminated if balance is not available in the source scheme/plan/option on the date of Swing STP installment processing.
14. The Swing STP Facility is available only for units held in Non - demat Mode in the source and target schemes.

For more details, please read the Statement of Additional Information (SAI), respective Scheme Information Document (SID), Key Information Memorandum (KIM) and Addendum(s) carefully before filling up this form.