REGISTRATION/CANCELLATION FORM FOR SYSTEMATIC TRANSFER PLAN (STP)

TRUST MUTUAL FUND
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DISTRIBUTOR INFORMATION

Distributor Code	Sub-Broker Code	Sub-Broker Code	Employee Unique Identification No.*	E-Code	RIA CODE^
ARN-	ARN-	INTERNAL CODE	IDENTIFICATION NO. (EUIN)		ONLY FOR DIRECT INVESTMENT

*Investors should mention the EUIN of the person who has advised the investor. If left blank, the fund will assume following declaration by the investor "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker".

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

For Direct investments, please mention 'Direct' in the column 'Name & Distributor Code'.

^I/We, have invested in the below mentioned scheme of TRUST Mutual Fund under the Direct Plan. I/We hereby give my/our consent to share/provide the transaction data feed / portfolio holdings / NAV etc. in respect of this particular transaction, to the SEBI Registered Investment Advisor (RIA) bearing the above mentioned registration number.

Signature of Sole/First Applicant/Guardian	Signature of Second Applicant	Signature of Third Applicant

EXISTING UNIT HOLDER'S INFORMATION (MANDATORY)

Folio No.

1. APPLICANT'S DETAILS

Name (As per PAN) **Date Of Birth** D D M M Y Y Y Y

(Mandatory in case of minor)

Name of Guardian (if first applicant is a minor / **Contact Person** for non individuals)

1st Applicant PAN

2. SYSTEMATIC TRANSFER PLAN (STP) (Please tick ✓): ☐

From Scheme TRUSTMF **Plan:** (Please tick✓) ☐ Regular ☐ Direct

Option ☐ Growth ☐ IDCW* Payout ☐ IDCW* Re-Investment **IDCW Frequency**

To Scheme TRUSTMF **Plan:** (Please tick ✓) ☐ Regular ☐ Direct

Option ☐ Growth ☐ IDCW* Payout ☐ IDCW* Re-Investment **IDCW Frequency**

*IDCW- INCOME DISTRIBUTION CUM CAPITAL WITHDRAWAL OPTION

FIXED STP (Please tick ✓): ☐

☐ **Daily**	First execution will be on or after 7 calendar days from the date of submission (Excluding date of submission)
☐ **Weekly**	Day of Transfer (please tick any one) ☐ Monday ☐ Tuesday ☐ Wednesday ☐ Thursday ☐ Friday Default Day of Transfer (Wednesday)
☐ **Fortnightly**	Transfer will execute on 1st &15th of every month
☐ **Monthly** (Default)	Date D D Preferred Transfer Date (Any day from 1st to 28th of the month)
☐ **Quarterly**	Date D D (Any day from 1st to 28th of the first month of every quarter)

CAPITAL APPRECIATION STP (Please tick ✓): ☐

☐ **Monthly** (Default)	Transfer will execute on 1st of every month
☐ **Quarterly**	Transfer will execute on 1st of the starting month of every quarter

Amount per Transfer	
No. of Instalments	

OR Transfer Period From D D M M Y Y TO D D M M Y Y

NOTE - In Daily STP minimum tenure for transfer should be 1 month. For all other frequencies of Fixed STP and Capital Appreciation STP, minimum number of installments has to be 6.

3.CANCELLATION OF STP

☐ I/We wish to discontinue my Systematic Transfer Plan (STP) for the below given details.

☐ Fixed STP	☐ Capital Appreciation STP
Frequency: ☐ Daily ☐ Weekly ☐ Fortnightly ☐ Monthly ☐ Quarterly	☐ Monthly ☐ Quarterly

From Transferor Scheme: Plan Option

To Transferee Scheme: Plan Option

STP Start Date: D D M M Y Y Y Y STP End Date: D D M M Y Y Y Y

STP Installment Amount (in Rs.)

(STP Cancellation request must be submitted 10 business days in advance from the next STP due date)

4. DECLARATION AND SIGNATURES (To be signed by ALL UNIT HOLDERS if mode of holding is 'Joint')

I/We have read and understood the contents of the respective Scheme Information Document, Statement of Additional Information and Key Information Memorandum of TRUST Mutual Fund. I/We hereby apply to the Trustees of TRUST Mutual Fund for units of the Scheme as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme. I/We have understood the details of the Scheme and I/We have not received nor have been induced by any rebate or gifts, directly or indirectly, in making this investment. The Distributor has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby declare that the particulars given above are correct. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold TRUST Asset Management Private Limited (Investment Manager to TRUST Mutual Fund), their appointed service providers or representatives responsible. I/We hereby declare that the amount being invested by me/us in the Scheme of TRUST Mutual Fund is derived through legitimate sources and is not held or designed for the purpose of contravention of any Act, Rules, Regulations or any statute or legislation or any other applicable laws or any Notifications, Directions issued by any governmental or statutory authority from time to time.

	SIGNATURES(S) In case of Joint Holders, all unit holders must sign this form.		
Date D D M M Y Y Y Y	Sole/First Unit Holder/Guardian	Second Unit Holder	Third Unit Holder
Place			

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ACKNOWLEDGEMENT SLIP (To be filled in by the Investor)

Stamp & Signature

Folio No. ☐ SWP ☐ STP

Received from Mr. / Ms. ______________________________ Date : ____/____/__________

TERMS & CONDITIONS

1. All valid requests for STP forms from one Scheme to the other Scheme would be treated as switch-out/redemption & switch-in/purchase at the applicable NAV of the respective Scheme(s). The difference between the NAV of two Schemes will be reflected in the number of units allotted.
2. Minimum Investment Amount in Transfer Out Scheme should be as per the respective SID/ Addendum.
3. Units marked under Lien, Pledge or Lock-in Period in the Transfer Out Scheme will not be eligible for STP.
4. All valid applications will be processed as per the applicable uniform cut off timings on the working days as mentioned in the respective Scheme SID.
5. This form should be submitted at least 5 business days before the commencement date.
6. A Unit holder who has opted for STP under a specific Scheme can also redeem or switch his Units to any other eligible Scheme provided he has sufficient balance in his account on the date of such a request
7. The Unit holder can make additional subscriptions in accordance to the terms and conditions of the Scheme Information Document (SID). Such additional subscription will alter the functioning of the STP.
8. STP/SWP will be terminated automatically in case of a Zero balance in the respective Scheme-Folio on the run-date or expiry of the enrolment period whichever is earlier.
9. The unit holders can choose to opt out from the STP at any point of time by submitting a written request to the nearest designated Investor Service Center. Such request for discontinuation should be received at least 10 working days prior to the next due date of the STP.
10. TRUST AMC reserves the right to reject any application without assigning any reason thereof and the Trustee reserves the right to change/modify the terms and conditions of STP.
11. Existing unit holders should note that unit holders' details and mode of holding (single, jointly, anyone or survivor) will be as per the existing Account.
12. The application is subject to detailed scrutiny and verification. Applications which are not complete in all respect are liable for rejection either at the collection point itself or subsequently after detailed scrutiny / verification at the back office of the Registrar.
13. STP facility is not available for units held in demat. Unit Holders opting the units in the demat mode, can submit redemption only through DP or through stock exchange platform
14. STP will terminate automatically if all units are liquidated or withdrawn from the account or upon the funds receipt of notification of death or incapacity of unit holder.
15. Further, in case where the balance amount in a folio is less than the STP amount, the entire amount will be transferred to the transferee scheme.
16. STP will cease if there are 3 consecutive failures.
17. This form is to be used for single folio and single systematic request only (Only one request processed at a time).
18. Investors can discontinue their systematic transactions by providing sufficient notice as follows: STP - 10 business days prior.
19. Please use separate cancellation forms for different schemes in the same folio or different folios.
20. For multiple STP/SWP's fill multiple form, please ensure that all the said parameters are mentioned, else the form may be liable for rejection.
21. In the absence of sufficient number of days as mentioned above the cancellations would be effected from the next eligible cycle date.
22. In case of joint holders in the folio, the form needs to be signed by all the holders depending upon the mode of holding/s.
23. Investor needs to ensure that the details mentioned in the STP/SWP cancellation form are correctly filled in.
24. In case of any ambiguity the STP cancellation is liable for rejection either at the collection point itself or subsequently after detailed scrutiny/verification at the back office of the Registrar.
25. The load structure of prevailing at the time of submission of STP application will apply for all the installments indicated in such application.

FREQUENCY

Investors can opt for the Systematic Transfer Plan by investing a lumpsum amount in one scheme of the fund and providing a standing instruction to transfer sums with a minimum amount of Rs.1000/- and any amount thereafter, at monthly intervals (for a minimum period of 6 months) into any other existing scheme of Trust Mutual Fund. Investors could also opt for STP from an existing account by quoting their account/folio number. Investors could choose to specify a fixed sum to be transferred every month.

- Minimum number of instalments - The Capital Appreciation STP can be registered with minimum of 6 (six) instalments. There is no upper limit on the same.

If STP day falls on non-business day, the STP transaction shall be processed on the next business day.

The AMC reserves the right to introduce STPs at such other frequencies such as quarterly/half-yearly etc. or on any dates as the AMC may feel appropriate from time to time.

Fixed Systematic Transfer Plan (FSTP)- FSTP is a facility wherein an investor can opt to transfer the fixed amount of his investments on the date of transfer at pre-determined intervals from designated open-ended scheme (source scheme) to another open-ended scheme (target scheme).

Frequency	Minimum Amount (in Rs)	Minimum Instalment	Cycle Date*
Daily	1000/-	Minimum One month	First execution date will be on or after 7 calendar days from the date of submission of the form (excluding date of submission) Any date (from 1st to 28th)
Weekly	1000/-	6 instalments	Any day between Monday To Friday+
Monthly	1000/-	6 instalments	Any date (from 1st to 28th)
Fortnightly	1000/-	6 instalments	1st & 15th of every month
Quarterly	1000/-	6 instalments	Any date (from 1st to 28th)

+ In case the day of Transfer has not been indicated under STP - Weekly frequency, Wednesday shall be treated as Default day of transfer

Capital Appreciation STP (CASTP) - CASTP is a facility wherein an investor can opt to transfer the entire capital appreciation linked to the value of his investments on the date of transfer at pre-determined intervals from designated open-ended scheme (source scheme) to another open-ended scheme (target scheme)

Frequency	Minimum Amount (in Rs)	Minimum Instalment	Cycle Date
Monthly (Default)	1000/-	6 instalments	1st of every month
Quarterly	1000/-	6 instalments	1st of every month

*In case any of these days fall on a non business day, the transaction will be effected on the next business day of the scheme.