

APPLICATION FORM FOR SYSTEMATIC TRANSFER PLAN

(For Existing Unit Holders only)

Please read the instructions overleaf carefully and complete the relevant sections legibly in black / dark coloured ink and in BLOCK LETTERS.
Please strike off unused section(s) to avoid unauthorised use

Broker Code/ ARN	Sub-Broker ARN/ Branch Code	Internal Sub-Broker Code	EUIN* (Refer Section 'C' of instructions)	RIA Code / PMRN**	Ref. No.

☐ I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

**By mentioning the RIA Code/ PMRN, I/we hereby give my/our consent to share/provide the transactions data feed / unit holdings in respect of my/our investments under Direct Plan in the Scheme(s) of Union Mutual Fund with the SEBI Registered Investment Adviser/ SEBI registered Portfolio Managers.

Signature Sole/ First Applicant/ Guardian/ POA/ Authorised Signatory	Signature Second Applicant / POA / Authorised Signatory	Signature Third Applicant / POA / Authorised Signatory
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For Office use only

☐ New Registration ☐ Cancellation

Date:

D	D	M	M	Y	Y	Y	Y
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1. EXISTING UNITHOLDER(S) INFORMATION*

Existing Folio No.		*Mandatory	Permanent Account Number (PAN)	
Name of the Unit Holder [Please Tick (✓)]				
☐ Mr. ☐ Ms. ☐ M/s.				
Email Id				

2. STP DETAILS (Refer Section 'A' of instructions) [Please Tick (✓)]

	From Scheme	To Scheme																
Name of the Scheme																		
Plan	☐ Direct Plan ☐ Regular Plan/ Other than Direct Plan	☐ Direct Plan ☐ Regular Plan/ Other than Direct Plan																
Option	☐ Growth ☐ Payout of IDCW ☐ Transfer of IDCW ☐ Reinvestment of IDCW	☐ Growth ☐ Payout of IDCW ☐ Transfer of IDCW ☐ Reinvestment of IDCW																
	Transfer of IDCW to U N I O N																	
	Plan/ Option																	
	Sub Option/ Frequency																	
	Default Plan/ Option/ Sub Option/ Frequency will be applied in case of no information, ambiguity or discrepancy.																	
Enrolment Period	From <table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table> To <table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table> OR ☐ Till Further Instruction* (Default)	D	D	M	M	Y	Y	Y	Y	D	D	M	M	Y	Y	Y	Y	
D	D	M	M	Y	Y	Y	Y											
D	D	M	M	Y	Y	Y	Y											
Transfer Amount in (₹ Figures)	Transfer Amount in (₹ words)																	
Frequency	☐ Daily STP ☐ Weekly STP (Monday to Friday) Daily (Only Business Day) Day of Transfer	☐ Fortnightly STP ☐ Monthly STP (Default) ☐ Quarterly STP ☐ Half Yearly STP Every Alternate Wednesday STP Date* <table border="1"><tr><td>D</td><td>D</td></tr></table>	D	D														
D	D																	

* In case the day/ date chosen for STP falls on a non-business day or on a date which is not available in a particular month, the STP will be processed on the immediate next business day
* If the STP end date is not selected by the investor, then the STP will continue till further instructions are received from the investor or till all units are liquidated or withdrawn from the account or pledged or upon the notification of death of the Unit holder is received by the AMC.
Note: IDCW stands for "Income Distribution cum Capital Withdrawal"

3. DECLARATION & SIGNATURES* (Refer Section 'B' of instructions)

I/We have read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum, Instructions and addenda issued by Union Mutual Fund, I / We, hereby apply to the Trustee of Union Mutual Fund for Units of the relevant Scheme and agree to abide by the terms and conditions, rules and regulations of the Scheme. I / We hereby agree that any transaction processed under the Systematic Transfer Plan will be binding upon me/us. I / We will not hold the AMC/the Trustee Company/ the Mutual Fund / the Sponsors liable for any transaction processed under the STP. I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making the investment in the relevant Scheme. I / We declare that the amount invested in the relevant Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the relevant Scheme is being recommended to me/us. I / We hereby confirm that Union Mutual Fund/ Union Asset Management Company Private Limited and its empanelled broker(s) has not given any indicative portfolio and indicative yield, in any manner whatsoever. I / We hereby confirm that at the time of investment, I / We have the express authority to invest in units of the Scheme and the AMC/ Trustee/ Mutual Fund/ Sponsors will not be responsible if such investment is ultravires the relevant constitution.

Signature Sole / First Applicant / Guardian / POA / Authorised Signatory	Signature Second Applicant / POA / Authorised Signatory	Signature Third Applicant / POA / Authorised Signatory
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ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

Folio No.

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Received from: Mr./ Ms./ M/s _____ Date: / /

Application for Systematic Transfer Plan

From Scheme/ Plan/ Option - _____

To Scheme - _____

STP Period	From	<table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y	To	<table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y	OR ☐ Till Further Instruction (Default)
D	D	M	M	Y	Y	Y	Y														
D	D	M	M	Y	Y	Y	Y														
Amount in ₹																					

Collection centre's stamp with date and time of receipt

INSTRUCTIONS

General

1. This form should be used by existing investors only by mentioning their folio number, name and scheme details. Please read the Statement of Additional Information (SAI), respective Scheme Information Document (SID) including Addendum(s) thereto, if any, Key Information Memorandum (KIM) and instructions there-in and below mentioned instructions carefully before filling up this form.
2. This Form should be completed in English and in Block Letters only using black or blue coloured ink.
3. Please tick (✓) in the appropriate circle (O).
4. The Form should be complete in all respects & should be submitted at any of the Customer Service Centres (CSCs) / Official Points of Acceptance (OPAs) of Computer Age Management Services Ltd. (CAMS) or Union Mutual Fund.
5. Signature(s) should be as provided under the existing folio and in the same order. In case the mode of holding is joint, all unit holders are required to sign.
6. If any chosen day falls on a non-business day, the next business day of the scheme will be considered as the transaction date.
7. Incorrect / Incomplete applications are liable to be rejected.
8. Default Option may be applied in case of no information, ambiguity or discrepancy.
9. The AMC reserves the right to change/modify the terms and conditions of STP.
10. The Load Structure prevailing at the time of submission of the STP application will apply for all the installments indicated in such application.

A. Systematic Transfer Plan (STP)

1. A minimum period of 8 days shall be required for registration under STP. In case the required time of 8 calendar days are not met, then the STP will be processed from the next STP cycle.
2. The STP Frequencies available are as follows:

Frequency	Cycle Day / Date*	Default Day / Date	Minimum Instalment Amount (in ₹) ^	Minimum Instalments
Daily	Daily (Only Business Day)	Not Applicable	₹ 100 & in multiples of ₹ 1/- thereafter	6
Weekly	Monday to Friday	Wednesday	₹ 100 & in multiples of ₹ 1/- thereafter	6
Fortnightly	Every Alternate Wednesday	Every Alternate Wednesday	₹ 100 & in multiples of ₹ 1/- thereafter	6
Monthly/ Quarterly/ Half Yearly	Any date of the month.	8 th of the month.	₹ 100 & in multiples of ₹ 1/- thereafter	6

*In case any of these days fall on a non-business day, the transaction will be effected on the next business day of the Scheme

^ For Union ELSS Tax Saver Fund, the minimum instalment amount will be ₹ 500 & in multiples of ₹ 1/- thereafter.

3. Units marked under Lien, Pledge or Lock-in Period in the Transferor scheme will not be eligible for STP.
4. An investor has to clearly specify the name & the option of the Transferor & Transferee scheme in the enrolment form. If name of the Transferor or Transferee Scheme is not stated or in case of any ambiguity, STP enrolment request shall be liable to get rejected. In the absence of information, the default option for transferee scheme shall be growth option. All valid applications will be processed as per the applicable uniform cut off timings on the working days as mentioned in the respective scheme SID.

5. If the required minimum balance is not available in the transferor scheme for 3 consecutive attempts, the STP registered will be terminated.
6. STP will be automatically terminated if all units are liquidated or withdrawn from the Transferor Scheme or pledged or upon receipt of intimation of death of the unit holder.
7. A request for STP will be treated as a request for redemption from the Transferor scheme and subscription into the selected Transferee scheme(s), option(s) / plan(s), at the applicable NAV, subject to load and statutory levy, if any.
8. Unit holders will have the right to discontinue the STP facility at any time by sending a written request to the Customer Service Centres (CSC) of the AMC or its Registrar. Notice of such discontinuance should be received at least 8 days prior to the due date of the next transfer date. On receipt of such request, the STP facility will be terminated.

B. Declaration and Signature(s)

1. Signature(s) should be in English or in any of the Indian languages specified in the eighth schedule of the Constitution of India.
2. Thumb impressions (left hand for males and right hand for female) and signatures in languages not specified in the Eighth Schedule of the Constitution of India should be attested by a Magistrate or a Notary public or a Special Executive Magistrate under his/ her official seal.
3. Applications by minors should be signed by their guardians.
4. In case of an HUF, the Karta should sign on behalf of the HUF.
5. If the application form is signed by a Power of Attorney (PoA) holder, the form should be accompanied by a notarised photocopy of the PoA. Alternatively, the original PoA may be submitted with the application, which will be returned after verification. If the PoA is not submitted with the application, the application form will be rejected.
6. In case of non-individual investors, a list of authorised signatories should be submitted along with application form in case of any change in the authorised signatories list.

C. EMPLOYEE UNIQUE IDENTIFICATION NUMBER (EUIN):

As per SEBI Circular No. CIR/IMD/DF/21/2012 dated September 13, 2012 and AMFI Guidelines on implementation of EUIN, it is mandatory to state the Employee Unique Identification Number (EUIN) of the employee/ relationship manager/ sales person of the distributor interacting with the investor for the sale of mutual fund products, in addition to the AMFI Registration Number (ARN) of the distributor in the space indicated in the application form. **In case the EUIN box is intentionally left blank in the absence of any client facing interaction, then it is required to mandatorily tick against the confirmation/ declaration stating that the transaction is an "execution-only" transaction, mentioned below the box/ space provided for the ARN Number/ EUIN in the application form and also provide signature(s) in the signature pane appearing just below the confirmation/declaration.** The mentioning of the EUIN shall assist the AMC to tackle the problem of mis-selling by the distributors/its employees/ relationship manager/ sales person.

Please address all future communication(s) in connection with this application to the Registrar & Transfer Agent of the Scheme:

Computer Age Management Services Ltd.,

Unit: Union Mutual Fund

Rayala Tower 2, 5th Floor, # 158 Anna Salai, Chennai - 600002.

Email: enq_uk@camsonline.com | Website: www.camsonline.com

Union Asset Management Company Pvt. Ltd.

Unit 503, 5th Floor, Leela Business Park, Andheri Kurla Road, Andheri (East), Mumbai - 400059.

Toll Free : 1800 200 2268/1800 572 2268 | Tel No. : 022 67483333

Website: www.unionmf.com | Email : investorcare@unionmf.com

