

SYSTEMATIC TRANSFER PLAN (STP) & SYSTEMATIC WITHDRAWAL (SWP)

Please fill this form in ENGLISH in BLACK/DARK COLOURED INK in CAPITAL LETTERS.

DISTRIBUTOR / BROKER INFORMATION

Name & ARN Code	Sub Agent ARN Code	Sub Agent Code /Bank Branch Code/ Internal Code	Employee Unique Identification Number	RIA Code*
ARN- (ARN stamp here)	ARN-			

*Please sign alongside in case the EUIN is left blank/not provided. I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or not with standing the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

☐ New Registration ☐ Cancellation

1. NEW / EXISTING UNIT HOLDER INFORMATION

Folio/Application No.		PAN / PEKRN		
Name of the Sole/1st Applicant:	Mr. / Ms. / M/s.	FIRST	MIDDLE	LAST

2. SCHEME DETAILS (Please ✓) Choice of Scheme / Option / Facility

Scheme			
Option		Plan	

3. SYSTEMATIC TRANSFER PLAN (STP) DETAILS 7 calendar days to register STP

To Scheme						
Plan		Option				
Period: From	D D M M Y Y Y Y	To	D D M M Y Y Y Y	OR	No of Installments	
Amount Per Installment:		Amount (in words)				

4. SYSTEMATIC TRANSFER PLAN (STP) FREQUENCY DETAILS (Please ✓)

☐ Daily	☐ Weekly (Please mention any day between monday to Friday)	☐ Monthly	☐ Quarterly
All Business Days		☐ 2nd OR ☐ 8th OR ☐ 15th OR ☐ 23rd	

5. SYSTEMATIC WITHDRAWAL PLAN (SWP) DETAILS 7 calendar days to register SWP

Period: From	D D M M Y Y Y Y	To	D D M M Y Y Y Y	OR	No of Installments	
Amount Per Installment:		Amount (in words)				

6. SYSTEMATIC WITHDRAWAL PLAN (SWP) FREQUENCY DETAILS (Please ✓)

☐ Monthly	☐ Quarterly	☐ 2nd OR ☐ 8th OR ☐ 15th OR ☐ 23rd
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7. CONTACT DETAILS

Tel. (Res.)	STD Code	Tel. (Off.)	Mobile No.

	Mobile No.	Mobile No. provided pertains to	Email ID	Email ID provided pertains to
FIRST HOLDER		☐ Self ☐ Spouse ☐ Dependent children ☐ Dependent Siblings ☐ Dependent Parents ☐ A Guardian in case of a minor		☐ Self ☐ Spouse ☐ Dependent children ☐ Dependent Siblings ☐ Dependent Parents ☐ A Guardian in case of a minor
SECOND HOLDER		☐ Self ☐ Spouse ☐ Dependent children ☐ Dependent Siblings ☐ Dependent Parents ☐ A Guardian in case of a minor		☐ Self ☐ Spouse ☐ Dependent children ☐ Dependent Siblings ☐ Dependent Parents ☐ A Guardian in case of a minor
THIRD HOLDER		☐ Self ☐ Spouse ☐ Dependent children ☐ Dependent Siblings ☐ Dependent Parents ☐ A Guardian in case of a minor		☐ Self ☐ Spouse ☐ Dependent children ☐ Dependent Siblings ☐ Dependent Parents ☐ A Guardian in case of a minor

8. DECLARATION AND SIGNATURE

I/ We have read & understood the terms and contents of Statement of Additional Information (SAI), Scheme Information Document (SID) of the scheme(s), I/We hereby apply to the Trustees of Groww Mutual Fund for units of scheme(s) of Groww Mutual Fund as indicated above and agree to abide by the terms, conditions, rules and regulations of the scheme (s). I/We hereby declare that the particulars given herein are correct and complete. I/We confirm that I/we have not received and will not receive any commission or brokerage or any other incentive in any form, directly or indirectly, for subscribing to units issued under any of the scheme(s). I/We hereby declare that the amount invested in the scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions of the provisions of Income Tax Act, 1961, Prevention of Money Laundering Act, 2002, Prevention of Corruption Act, 1988 or any other applicable laws enacted by the Government of India from time to time. For NRIs/FLs only: I/We confirm that I am/we are Non Residents of Indian Nationality/origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our Non-resident External Account/FCNR account/NRO/NRNR account/NRO/NRSR Account. (Including amount of transactions made in future)

SIGN HERE	☐ First / Sole Applicant / Guardian / Authorised Signatory	☐ Second Applicant / Authorised Signatory	☐ Third Applicant / Authorised Signatory
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TERMS AND CONDITIONS

General

1. Please refer to the Key Information Memorandum (KIM), Scheme Information Document (SID) of respective schemes and Statement of Additional Information (SAI) for Applicable NAV, Risk Factors, Load and other information of the Scheme before investing.
2. If any chosen day falls on a non business day, the next business day will be considered as the transaction date.
3. Incorrect / Incomplete applications are liable to be rejected.
4. Employee Unique Identification Number (EUIIN) - Investor investing through Intermediary shall mandatorily mention the EUIIN on the application form, irrespective whether he/she has been advised by Sales person/ Employee/ Relationship manager of the Intermediary or not. However, in case of any exception cases, where there is no interaction by Sales person / Employee / Relationship manager of the intermediary with respect to the investment / transaction, the EUIIN box may be left blank. If left blank, AMC will seek the following declaration separately from the investor, "I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/-sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker." It is mandatory to obtain EUIIN for every Sales person / Employee / Relationship manager of the Intermediary for dealing (irrespective whether transactions are in the nature of execution or advisory) in mutual fund products.
5. An Account statement will be dispatched within 5 business days for the first investment through SIP. Since Common Account statement (CAS) is dispatched to all the active investors every month, SIP transactions will reflect in the CAS. In case of specific request received from unit holder, Mutual Fund endeavor to provide the account statement\ within 5 business days from the receipt of such request without any charges.
6. For a new STP / SWP to start it will take minimum 7 calendar days.
7. Such request for discontinuation should be received at least 7 calendar days prior to the next due date of the SWP/STP.
8. Groww AMC reserves the right to reject any application without assigning any reason thereof and the Trustee reserves the right to change/modify the terms and conditions of SWP/STP
9. For mandatory requirements like Bank account details, Know Your Customer (KYC) and required documents please refer KIM/SID.
10. Transfer of IDCW Facility is possible only from Groww Liquid Fund - Monthly IDCW Option and Groww Dynamic Bond Fund - Payout of Monthly IDCW Option to any other scheme.
11. In case of an investor who was a minor, the minor on attaining majority has to submit all the necessary documents and complete all the formalities as mentioned in the SID to start or renew a SIP / STP / SWP.

Installment options available under STP/SWP

STP					
		Daily	Weekly	Monthly	Quarterly
All Schemes	Minimum amount	₹500/- and in multiples of ₹1/- thereafter	₹500/- and in multiples of ₹1/- thereafter	₹500/- and in multiples of ₹1/- thereafter	₹500/- and in multiples of ₹1/- thereafter
	Minimum Installments	180	24	12	4

SWP			
	Monthly	Quarterly	
All Schemes	Minimum amount	₹500/- and in multiples of ₹1/- thereafter	
	Minimum Installments	12	

Systematic Transfer Plan / Systematic Withdrawal Plan

1. All valid requests for STP/SWP forms from one scheme to the other scheme would be treated as switch out/ redemption and switch in/ purchase at the applicable NAV of the respective scheme(s).
2. Minimum Investment Amount in Transfer Out scheme should be as per the respective SID/ Addendum.
3. Units marked under Lien, Pledge or Lock-in Period in the Transfer Out scheme will not be eligible for STP/SWP.
4. All valid applications will be processed as per the applicable uniform cut off timings on the business days as mentioned in the respective scheme SID.
5. A Unit holder who has opted for STP/SWP under a specific Scheme can also redeem or switch his Units to any other eligible Scheme provided he has sufficient balance in his account on the date of such a request
6. The Unit holder can make additional subscriptions in accordance to the terms and conditions of the Scheme Information Document (SID). Such additional subscription will alter the functioning of the STP/ SWP.
7. STP/SWP will be terminated automatically incase minimum STP/SWP installment amount is not available in the folio and the transaction gets rejected 3 consecutive times.

Mutual Fund investments are subject to market risks. Please read Statement of Additional Information (SAI) and respective Scheme Information Document (SID) carefully for detailed risk factors and other information before investing.