ICICI Prudential
FLEX SYSTEMATIC TRANSFER PLAN

Application Form

(Please read terms & conditions / instructions overleaf before filling up this form)

Form No. ____________________

ICICI PRUDENTIAL MUTUAL FUND

Date : D D M M Y Y Y Y

KEY PARTNER / AGENT INFORMATION				FOR OFFICE USE ONLY
BROKER CODE (ARN CODE)	SUB-BROKER ARN CODE	SUB-BROKER CODE (As allotted by ARN holder)	Employee Unique Identification No. (EUIN)	SERIAL NUMBER, DATE & TIME OF RECEIPT

Declaration for "execution-only" transaction (only where EUIN box is left blank) (Refer Instruction No. XIV). – I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/salesperson of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/salesperson of the distributor/sub broker.

SIGNATURE OF SOLE / FIRST APPLICANT	SIGNATURE OF SECOND APPLICANT	SIGNATURE OF THIRD APPLICANT

I / We have read and understood the contents of the Scheme Information Document(s) of the respective Scheme(s) and the Statement of Additional Information and the terms & conditions overleaf. I / We hereby apply to the Trustee of ICICI Prudential Mutual Fund for enrolment under the Flex STP of the following Scheme(s) / Plan(s) / Option(s) and agree to abide by the terms and conditions of the respective Scheme(s) / Plan(s) / Option(s). The ARN holder (AMFI registered Distributor) has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Please (✓) any one ◯ NEW REGISTRATION ◯ CANCELLATION

NAME OF THE APPLICANT

First / Sole Applicant	Mr. Ms. M/s FIRST MIDDLE LAST	
PAN	KYC is Mandatory Please (✓)	◯ Proof Attached
Guardian (in case the First / Sole Applicant is a minor)	Mr. Ms. M/s FIRST MIDDLE LAST	
PAN	KYC is Mandatory Please (✓)	◯ Proof Attached
Second Applicant	Mr. Ms. M/s FIRST MIDDLE LAST	
PAN	KYC is Mandatory Please (✓)	◯ Proof Attached
Third Applicant	Mr. Ms. M/s FIRST MIDDLE LAST	
PAN	KYC is Mandatory Please (✓)	◯ Proof Attached

PARTICULARS

1. **Folio No.** (for existing Unit holder) **/Application No.** (for new investor)

2. **From Scheme / Plan / Option** **Plan:** ◯ **Regular** ◯ **Direct**	
3. **To Scheme / Plan / Option** **Plan:** ◯ **Regular** ◯ **Direct**	
4. **Amount and Frequency of Flex STP** (Please ✓ any one)	Minimum amount of Transfer per Installment: Rs. ____________________

◯ Daily ◯ Weekly (______________)** ◯ Monthly + ◯ Quarterly **SIP Date^:** D D No. of Installments:#

Enrolment Period*: From: M M Y Y Y Y To: M M Y Y Y Y

***investors can choose any day of the week from Monday to Friday to register under weekly frequency. #In case the choosen date is a non-business day, the transaction would be processed on the next business day. ^In case the choosen date is a non-business day, the transaction would be processed on the next business day.*

*$Refer Instruction No. 8(a) * Refer Instruction No. 9 + Default Frequency / Date [Refer Instruction 9(f)]*

SIGNATURE(S)

First/Sole Unit holder / Guardian	Second Unit holder	Third Unit holder

Please note: Signature(s) should be as it appears on the Application Form and in the same order. In case the mode of holding is joint, all Unit holders are required to sign.

ACKNOWLEDGEMENT SLIP (To be filled in by the Unit holder)

Date :

ICICI PRUDENTIAL MUTUAL FUND
Regd. Office: 3rd Floor, Hallmark Business Plaza, St. Dyaneshwar Marg, Opp. Guru Nanak Hospital, Near Chetna Collage, Bandra East, Mumbai 400 050

Form No.

ISC Stamp & Signature

Folio No. (for existing Unit holder) **/Application No.** (for new investor)

Received from Mr./Ms./M/s. .... 'Flex STP' application(s) for transfer of Units.

From Scheme / Plan / Option ....

To Scheme / Plan / Option ....

TERMS & CONDITIONS / INSTRUCTIONS

1. ICICI Prudential Transfer Plan ("Flex STP") is a facility wherein unit holder(s) of designated open-ended Scheme(s) of ICICI Prudential Mutual Fund can opt to transfer variable amount(s) linked to value of investments under Flex STP on the date of transfer at pre-determined intervals from designated open ended Scheme(s) of ICICI Prudential Mutual Fund [hereinafter referred to as "Transferor Scheme"] to the designated open-ended Scheme(s) of ICICI Prudential Mutual Fund [hereinafter referred to as "Transferee Scheme"]. Currently, the Scheme(s) eligible for this facility are as follows:

   From / Transferor Schemes: All open ended fixed income, money market schemes and equity schemes of ICICI Prudential Mutual Fund.

   To / Transferee Schemes: All open ended equity oriented and hybrid schemes of ICICI Prudential Mutual Fund and ICICI Prudential Regular Gold Savings Fund (an open ended fund of fund).

   The above list is subject change from time to time. Please contact the nearest Investor Service Centre (ISC) of ICICI Prudential Mutual Fund for updated list.

   The schemes that are not eligible for this facility are as follows: ICICI Prudential Blended Plan - Plan A, ICICI Prudential Blended Plan - Plan B, ICICI Prudential Tax Plan, Sensex Prudential ICICI Exchange Traded Fund (SPICE), ICICI Prudential Select Large Cap Fund, ICICI Prudential Gilt Fund Investment Plan - PF option, ICICI Prudential Gilt Fund Treasury Plan - PF option, ICICI Prudential Child Care Plan - Study Plan, ICICI Prudential Child Care Plan - Gift Plan, ICIC Prudential R.I.G.H.T. (Rewards of investing & generation of healthy tax savings) Fund and ICICI Prudential US Bluechip Equity Fund.

2. ICICI Prudential Flex STP Enrollment Form should be completed in English and in Block Letters only. Please tick in the appropriate box, where boxes have been provided. The Flex STP Enrollment Form complete in all respects, should be submitted at any of the Investor Service Centres (ISCs) of ICICI Prudential Mutual Fund.

3. One Flex STP Enrollment Form can be filled for transfer into one Scheme/Plan/Option only.

4. Investors are advised to read the Scheme Information Document(s) of the Transferee Scheme(s) and Statement of Additional Information carefully before investing. The Scheme Information Document(s) / Statement of Additional Information/ Key Information Memorandum(s) of the respective Scheme(s) are available with the ISCs of ICICI Prudential Mutual Fund, brokers/distributors and also displayed at the ICICI Prudential Mutual Fund website i.e. www.icicipruamc.com.

5. Units will be allotted under the same folio number. Unitholders' name should match with the details in the existing folio number, failing which the enrollment form is liable to be rejected.

6. Flex STP offers transfer facility at weekly, monthly and quarterly intervals. Unit holder is free to choose the frequency of such transfers.

7. a. Under the Flex STP - Weekly Interval, unit holders will be eligible to transfer {fixed amount to be transferred per installment or the amount as determined by the following formula [(fixed amount to be transferred per installment X number of installments including the current installment) - market value of the investments through Flex STP in the Transferee Scheme on the date of transfer] whichever is higher}. In case the amount to be transferred is not available in the Source Scheme in the unit holder's account, the residual amount will be transferred to the Target Scheme, irrespective of the minimum switch – in criterial of the target scheme, Flex STP will be closed.

   b. Under the Flex STP - Monthly Interval, unit holders will be eligible to transfer {fixed amount to be transferred per installment or the amount as determined by the following formula [(fixed amount to be transferred per installment X number of installments including the current installment) - market value of the investments through Flex STP in the Transferee Scheme on the date of transfer] whichever is higher} on the 7th, 10th, 15th, 25th or last day of each month. In case the amount (as specified above) to be transferred is not available in the Transferor Scheme in the unit holder's account, the residual amount will be transferred to the Transferee Scheme and Flex STP will be closed.

   c. Under the Flex STP - Quarterly Interval, unit holders will be eligible to transfer {fixed amount to be transferred per installment or the amount as determined by the following formula [(fixed amount to be transferred per installment X number of installments including the current installment) - market value of the investments through Flex STP in the Transferee Scheme on the date of transfer] whichever is higher} on the 7th, 10th, 15th, 25th or last day of the quarter month. In case the amount (as specified above) to be transferred is not available in the Transferor Scheme in the unit holder's account, the residual amount will be transferred to the Transferee Scheme and Flex STP will be closed.

   d. In case the date of transfer falls on a Non-Business Day or falls during a book closure period, the immediate next Business Day will be considered for the purpose of determining the applicability of NAV.

   e. The first Flex STP installment will be processed for the fixed installment amount specified by the unitholder at the time of enrollment. From the second Flex STP installment onwards, the transfer amount shall be computed as per formula stated above.

   f. The total Flex STP amount invested in the Transferee Scheme shall not exceed the total enrollment amount i.e. amount per installment X number of installments.

   g. The redemption / switch-out of units allotted in the Transferee Scheme shall be processed on First In First Out (FIFO) basis. In case there is a redemption/ switch-out of any units allotted under Flex STP, the balance installments under Flex STP will be processed for the fixed installment amount specified by the unitholder at the time of enrollment subject to clause 8(f) above.

   h. Illustration 1: How to calculate the transfer

Transferor schemes	**ICICI Prudential Liquid Fund**
Transferee scheme	**ICICI Prudential Focused Bluechip Equity Fund**
Date and Frequency of Flex STP	**10th date - Monthly Interval**
Amount of Transfer per installment	**Rs. 2000/-**
Number of Installments	**12**
Enrollment Period	**January – December 2010**

Calculation of Flex STP installment amount on the date of the fifth installment i.e. May 10, 2010:

- Total units allotted upto the date of last installment i.e. April 10, 2010 is assumed as 500;
- The NAV of ICICI Prudential Focused Bluechip Equity Fund - Growth Option on May 10, 2010 is assumed as Rs. 15/- per unit;
- Hence the market value of the investment in the Transferee Scheme on the date of transfer is Rs. 7500 [500 X 15].

The installment amount will be calculated as follows:

Fixed amount specified at the time of enrollment:	**Rs. 2000 /-**
or	
As determined by formula :	**[(2000 x 5) – 7500] = Rs.2500**
Whichever is higher	

Hence, on May 10, 2010, the installment amount transferred to the Transferee Scheme will be Rs 2500/-

Note : The Flex STP installment dates above are assumed to be Business Days.

Illustration 2: How to calculate the transfer

Transferor schemes	**ICICI Prudential Liquid Fund**
Transferee scheme	**ICICI Prudential Focused Bluechip Equity Fund**
Date and Frequency of Flex STP	**15th date - Monthly Interval**
Amount of Transfer per installment	**Rs. 2000/-**
Number of Installments	**12**
Enrollment Period	**January – December 2010**

Calculation of Flex STP installment amount on the date of the seventh installment i.e. July 15, 2010:

- Total units allotted upto the date of last installment i.e. June 15, 2010 is assumed as 1050;
- The NAV of ICICI Prudential Focused Bluechip Equity Fund - Growth Option on July 10, 2010 is assumed as Rs. 16.20/- per unit;
- Hence the market value of the investment in the Transferee Scheme on the date of transfer is Rs. 17010 [1050 X 16.20].

The installment amount will be calculated as follows:

Fixed amount specified at the time of enrollment:	**Rs. 2000/-**
or	
As determined by formula:	**[(2000 x 7) – 17010] = Rs. – 3010**
Whichever is higher	

Hence, on July 15, 2010, the installment amount transferred to the Transferee Scheme will be Rs. 2000/-

Note : The Flex STP installment dates above are assumed to be Business Days.

9. a. The minimum amount per Flex STP installment is as follows:

- Flex STP - Daily, Weekly & Monthly Interval: Rs. 1,000/- and any amount thereafter.
- Flex STP - Quarterly Interval: Rs. 3,000/- and any amount thereafter.

   b. There should be a minimum of 6 installments for enrollment under Daily, Weekly and Monthly Flex STP and 2 installments for Quarterly Flex STP.

   c. The minimum unit holder's account balance or a minimum amount of application at the time of Flex STP enrollment in the Transferor Scheme should be Rs. 12,000.

   d. All requests for registering or discontinuing Flex STP shall be subject to an advance notice of 7(seven) working days.

   e. Unitholders are required to fill in the number of installments in case of Weekly Interval and the enrollment period in case of Monthly/ Quarterly Interval in the Enrollment Form, failing which the Form is liable to be rejected.

   f. In case, the Enrolment Period has been filled, but the Flex STP Date and/or Frequency (Monthly/Quarterly) has not been indicated or multiple frequencies are selected, Monthly frequency shall be treated as Default frequency and last day of month shall be treated as Default Date.

   g. There is no maximum duration for Flex STP enrollment. However, Flex STPs will be registered in a folio held by a minor only till the date of the minor attaining majority, even though the instructions may be for a period beyond that date. The Flex STP facility will automatically stand terminated upon the Unit Holder attaining 18 years of age.

   h. If investor does not mention either the application no. Or the folio then the form is liable to rejection

10. In respect of Flex STP enrollments made in the above-mentioned Scheme(s), the Load Structure prevalent at the time of enrollment shall govern the investors during the tenure of the Flex STP.

    Load structure for investments through Flex STP to the Schemes eligible for this facility:

- **Exit Load of the Transferor Scheme(s)**

  The amount transferred under the Flex STP from the Transferor Scheme to the Transferee Scheme shall be effected by switching units of transferor Scheme at applicable NAV, **after payment of exit load**, if any, and subscribing to the units of the Transferee Scheme at Applicable NAV.

- **Exit Load of the Transferee Scheme(s)**

  Applicable Exit Load, if any, in the Transferee Scheme/ Plan / Option as on the date of enrollment will also be levied. For Scheme load structure please refer to Key Information Memorandum or contact the nearest Investor Service Centre (ISC) of ICICI Prudential Mutual Fund or visit our website www.icicipruamc.com.

11. Flex STP will be automatically terminated if all units are liquidated or withdrawn from the Transferor Scheme or pledged or upon receipt of intimation of death of the unit holder.

12. Communication via Electronic Mail (e-mail) It is hereby notified that wherever the investor(s) has/have provided his/ their e-mail address in the application form or any subsequent communication in any of the folio belonging to the investor(s), the Fund/ Asset Management Company reserves the right to use Electronic Mail (email) as a default mode to send various communication which include account statements for transactions done by the investor(s). The investor(s) may request for a physical account statement by writing or calling the Fund's Investor Service Centre / Registrar & Transfer Agent. In case of specific request received from investor(s), the Fund shall endeavour to provide the account statement

to the investor(s) within 5 working days from the receipt of such request.

13. Unitholders have a right to discontinue the **Flex STP** facility at any time by sending a written request to the ISC. On receipt of such request, the **Flex STP** facility will be terminated by 7 working days.
14. ICICI Prudential Flex STP in any manner not an assurance or promise or guarantee on part of ICICI Prudential Mutual Fund / ICICI Prudential AMC Ltd. to the unit holders in terms of returns or capital appreciation or minimization of loss of capital.
15. Know Your Customer (KYC) Compliance: Investors should note that KYC is mandatory for all registrations for Flex STP. The KYC Compliance Status of each applicant (guardian in case of minor) needs to be quoted in the application and attach proof of KYC Compliance viz. KYC Acknowledgement Letter / Printout of KYC Compliance Status downloaded from CDSL Ventures Ltd. (CVL) website (www.cvlindia.com) using the PAN. For more details, please refer to the Statement of Additional Information available on our website www.icicipruamc.com.
16. The Trustee reserve the right to change/modify the terms and conditions of the Flex STP. The Trustee reserves the right to withdraw the Flex STP.
17. If switch-in amount under the Flex Systematic Transfer Plan (Flex STP) is lower than the registered transfer amount, the residual amount shall be transferred to the target scheme, irrespective of the minimum switch-in criteria of the target scheme.
18. In the event of an intervening non-business day Flex STP triggers will take place to the next business day and accordingly the end date to trigger all installment mentioned by the investor shall be extended i.e., For e.g. if the investor has opted for 100 installments and if 3 non-business days happen to occur in the intervening period, then Flex STPs will be triggered for all the 100 installments.
19. In case start date is mentioned in Flex STP but end date is not mentioned, the application will be registered for perpetual period.