Union Mutual Fund

SIP MODIFICATION FORM

Application No.	

(Please complete the relevant section legibly in black / dark coloured ink and in BLOCK LETTERS.)

Broker Code/ ARN	Sub-Broker ARN/ Branch Code	Internal Sub-Broker Code	EUIN* (Refer Section '12' of instructions)	RIA Code / PMRN**	Ref No.

For Office use only

○ *I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

**By mentioning the RIA Code/ PMRN , I/we hereby give my/our consent to share/provide the transactions data feed / unit holdings in respect of my/our investments under Direct Plan in the Scheme(s) of Union Mutual Fund with the SEBI Registered Investment Adviser/ SEBI registered Portfolio Managers.

Signature	Signature	Signature
Sole/ First Applicant/ Guardian/ POA/ Authorised Signatory	Second Applicant/ POA/ Authorised Signatory	Third Applicant/ POA/ Authorised Signatory

1. EXISTING UNIT HOLDER INFORMATION *[Please tick (✓)]* ○ Mr. ○ Ms. ○ M/s.

PAN: ○ KYC

Folio No.: Unitholder's Name:

2. EXISTING SIP DETAILS *[Please tick (✓)]*

Scheme/ Plan/ Option	SIP Amount	Frequency	SIP Date	SIP Day	Existing SIP Period (MM/YY)
Union		○ Daily ○ Weekly ○ Fortnightly ○ Monthly ○ Quarterly	D D		From M M Y Y To M M Y Y
Union		○ Daily ○ Weekly ○ Fortnightly ○ Monthly ○ Quarterly	D D		From M M Y Y To M M Y Y
Union		○ Daily ○ Weekly ○ Fortnightly ○ Monthly ○ Quarterly	D D		From M M Y Y To M M Y Y

3. NEW/MODIFICATION SIP DETAILS *[Please tick (✓)]* ○ With Change of Bank (Please fill OTM Debit Mandate Form) ○ Without Change of Bank

Scheme/ Plan/ Option	SIP Amount (In figures)	Frequency#	SIP Date# (For Monthly Frequency)	SIP Date# (For Fortnightly Frequency)	SIP Day# (For Weekly Frequency)	Enrolment Period (MM/YY)	Top-up Facility: Frequency	Top-up Facility: Amount
Union		○ Daily ○ Weekly ○ Fortnightly ○ Monthly	D D	1st and 15th of the month		From M M Y Y To M M Y Y	○ Half Yearly ○ Yearly SIP Top-Up Cap Amount	
Union		○ Daily ○ Weekly ○ Fortnightly ○ Monthly	D D	1st and 15th of the month		From M M Y Y To M M Y Y	○ Half Yearly ○ Yearly SIP Top-Up Cap Amount	
Union		○ Daily ○ Weekly ○ Fortnightly ○ Monthly	D D	1st and 15th of the month		From M M Y Y To M M Y Y	○ Half Yearly ○ Yearly SIP Top-Up Cap Amount	

In case of investment in multiple schemes, cheque should be drawn in favour of 'Union Mutual Fund' for the total amount of investment. The amount mentioned on the cheque should match with the 'Total Amount' mentioned here.

#If the investor does not specify the Top up frequency under Daily SIP, Weekly or Monthly SIP, the default frequency for Top-up will be Yearly

^ Daily Frequency is applicable to all schemes except Union Liquid Fund, Union Money Market Fund and Union Overnight Fund.

In case the chosen date/day falls on a Non-Business Day or on a date which is not available in a particular month/week, the SIP will be processed on the immediate next Business date/day. The SIP frequency will be daily (i.e. Business Days), weekly, fortnightly, and monthly. In case none of the frequencies have been selected then Monthly Frequency shall be treated as the default frequency, provided the requirement relating to minimum instalment size for monthly frequency is fulfilled. In case the SIP day/ date is not indicated, the default SIP day will be $ Wednesday for Weekly Frequency, 1st and 15th of the month for Fortnightly Frequency and 8th of every month for Monthly Frequency. Period: Start date and End Date of NACH registration (in format DD/MM/YYYY).

Maximum period of validity of this mandate is 40 years only.

Declaration & Signatures (To be signed by ALL UNIT HOLDER if the mode of holding is 'Joint')

I/We hereby agree to have read and understood the SAI, SID, KIM including the provisions with regard to payment of transaction charges. I/We hereby express my/our willingness to make payments towards SIP instalments as mentioned herein. If the transaction is delayed or not effected for reasons of incomplete/incorrect information, I / we would not hold the user institution responsible. Further, I/we authorize the representative (the bearer of this request) to get the Mandate herein verified. Mandate verification charges, if any, may be charged to my/our account. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme(s) are being recommended to me/ us. I/ We hereby confirm that the ARN holder/ AMC / Union Mutual Fund / the AMC and its empanelled broker(s) has not given any indicative portfolio and indicative yield, in any manner whatsoever. I/ We hereby confirm that at the time of investment, I / we have the express authority to invest in units of the Scheme(s); and Union Mutual Fund / AMC / Trustee will not be responsible if such investment is ultravires the relevant constitution. I / We hereby apply to Union Mutual Fund for SIP Modification under the above mentioned Scheme(s) and agree to abide by the terms and conditions, rules and regulations applicable. I understand that if my SIP Modification request is submitted later than 30 days prior to the next SIP date and if my next succeeding SIP purchase falls within this period, the transaction will be processed even though the SIP Modification has been registered. I / We hereby agree that any such transaction processed will be binding upon me/us. I /We will not hold the AMC/the Trustee Company/ the Mutual Fund / the Sponsor liable for any such transaction.

Applicable to Micro Investments only: I/We do not have any existing Micro Investments which together with the current application will result in aggregate investments exceeding ₹ 50,000 in a year.

Applicable to NRIs only: I/We confirm that I am / we are Non-Resident(s) of Indian Nationality / Origin and I/we hereby confirm that the funds for subscriptions have been remitted from abroad through normal banking channels or from fund in my/our Non Resident External / Ordinary account/ FCNR account(s).

Signature	Signature	Signature
Sole/First Applicant/Guardian/POA/Authorized Signatory	Second Applicant/Guardian/POA/Authorized Signatory	Third Applicant/Guardian/POA/Authorized Signatory

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Union Mutual Fund

MANDATE INSTRUCTION FOR NACH/ ONE TIME MANDATE (OTM) (Refer overleaf for instructions)

UMRN: For Office use | Date: D D M M Y Y Y Y

[tick (✓)]
- CREATE ✓
- MODIFY ✗
- CANCEL ✗

Sponsor Bank Code: For Office Use Only | Utility Code: For Office Use Only

I/We, hereby authorize **Union Mutual Fund** | To debit [tick (✓)] ☐ SB ☐ CA ☐ CC ☐ SB-NRE ☐ SB-NRO ☐ Other

Bank a/c number:

with Bank: Name of Customer's Bank | IFSC: | or MICR:

an amount of Rupees: in words | ₹ in figures

FREQUENCY ~~Daily~~ ✗ ~~Weekly~~ ✗ ~~Monthly~~ ✗ ~~Quarterly~~ ✗ ~~Half Yearly~~ ✗ ~~Yearly~~ ✓ As & when presented | DEBIT TYPE ✗ ~~Fixed Amount~~ ✓ Maximum Amount

Reference 1: Folio No. | Phone No.:

Reference 2: Application No. | Email ID:

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of bank. This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing Union Mutual Fund to debit my account based on the instructions as agreed and signed by me. I have understood that I am authorised to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to Union Mutual Fund.

PERIOD
From D D M M Y Y Y Y
To D D M M Y Y Y Y
Maximum period of validity of this mandate is 40 years only.

Signature Primary Account Holder	Signature of Account Holder	Signature of Account Holder
1. Name as in bank records	2. Name as in bank records	3. Name as in bank records

INSTRUCTIONS FOR COMPLETING SIP MODIFICATION FORM

1. **Please read the Scheme Information Document (SID), Statement of Additional Information (SAI) and Key Information Memorandum (KIM) and relevant addenda, if any, of the respective schemes carefully before investing. All applicants are deemed to have read, understood and accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the application form and tendering payment.**
2. PAN is a mandatory requirement for all investors for investing in the mutual fund, except for Micro Investments and investors from Sikkim. Micro Investments exemption will be applicable only to investments by individuals [including NRIs but excluding Persons of Indian Origin (PIOs)], minors, sole proprietary firms and to investments made by joint holders.
3. KYC Compliance is mandatory for all investors, irrespective of the amount of investment.
4. Please furnish the existing folio number, name and PAN details of sole/first unit holder in section 1 of this form.
5. Please furnish/indicate the following details with respect to systematic investment:-
   a. Scheme/Plan/Option/Facility and Income Distribution cum Capital Withdrawal (IDCW) frequency, wherever applicable.
   b. Investors are requested to refer to the SID/ KIM for minimum application amount applicable for SIP investment.
   c. Investors can choose any preferred date of the month as SIP debit date. In case the chosen date falls on a Non-Business Day or on a date which is not available in a particular month, the SIP will be processed on the immediate next Business Day.
   d. There should be a minimum gap of 30 days from the date of submission of SIP application for SIP Auto Debit to start.
   e. Each of the instalments under an SIP (excluding the initial cheque) should be of the same amount and date.
   f. In case the applicant/ unit holder has not indicated SIP preferences completely in the form or in case of any discrepancy, the default SIP frequency would be 'Monthly' (provided the requirement relating to minimum instalment size for monthly frequency is fulfilled) and the default SIP date would be '8th' for monthly and quarterly frequency. An investor has an option to choose the 'End Date' of the SIP by filling the date or by selecting the Default Date i.e. September 30, 2053. In case no end date is selected, the default end date will be considered as end date. Scheme name: same as mentioned on the 1st cheque, SIP amount: same as mentioned on the 1st cheque (applicable when this form is submitted with common investment application form and cheque).
6. Micro investments application will be rejected by the AMC where it is found that the registration of the SIP will result in the aggregate of investments in a rolling 12 months period or in a financial year exceeding ₹ 50,000/-. Micro SIP is allowed only for those who do not have PAN.
7. It is mandatory to submit the copy of cancelled cheque leaf (issued by the bank branch mentioned overleaf) along with this Auto Debit Form.
8. Declaration & Signatures: This section needs to be signed by the applicant(s)/ unit holder(s) at the places marked as per the mode of holding recorded with us (i.e. 'Single', 'Anyone or Survivor' or 'Joint').
9. In case of three consecutive failures due to insufficient balance in bank account while processing request for an SIP, Union Mutual Fund shall reserve the right to terminate the SIP without any written request from the investor.
10. The payment towards investment can happen only from the bank account of 1st holder and therefore the 1st holder needs to be a holder in the bank account. Third Party Payments are not accepted except in certain cases. For further details, refer the point on "Non-Acceptance of Third Party Payment Instruments for subscription/ investments of units" in the SAI.
11. **DEDUCTION OF TRANSACTION CHARGE FOR INVESTMENTS THROUGH EMPANELLED DISTRIBUTORS OF THE FUND:**
    With effect from April 01, 2024, no transaction charges will be deducted from the investment amount for transactions/applications received through distributors (i.e. under Regular Plan) and full subscription amount will be invested in the Schemes, subject to the statutory levies.
12. **EMPLOYEE UNIQUE IDENTIFICATION NUMBER (EUIN):**
    As per SEBI Circular No. CIR/IMD/DF/21/2012 dated September 13, 2012 and AMFI Guidelines on implementation of EUIN, it is mandatory to state the Employee Unique Identification Number (EUIN) of the employee/ relationship manager/ sales person of the distributor interacting with the investor for the sale of mutual fund products, in addition to the AMFI Registration Number (ARN) of the distributor in the space indicated in the application form. **In case the EUIN box is intentionally left blank in the absence of any client facing interaction, then it is required to mandatorily tick against the confirmation/declaration stating that the transaction is an "execution-only" transaction, mentioned below the box/space provided for the ARN Number/ EUIN in the application form and also provide signature(s) in the signature pane appearing just below the confirmation/ declaration.** The mentioning of the EUIN shall assist the AMC to tackle the problem of mis-selling by the distributors/ its employees/ relationship manager/ sales person.
13. For the features, terms and conditions for availing SIP Top-up Facility, please refer the Scheme Information Document of the respective Scheme(s).

TERMS & CONDITIONS FOR SIP MODIFICATION FORM

1. SIP Modification request provides the investor an option to modify the Scheme Name, Scheme Option, SIP installment amount , SIP date, SIP Frequency and SIP Top-up Details in the scheme(s) wherein the SIP investments are currently being made.
2. SIP Modification Request shall be construed as request for cessation of Existing SIP & Registration of a fresh SIP. Hence, the load structure and transaction charges (opted-in distributors) will be as applicable at the time of registration of SIP modification.
3. Modification Form has to be submitted atleast 30 days prior to the next SIP installment date.
4. SIP Modification request shall be liable for rejection if the modified details do not meet the minimum amount criteria as per the Scheme Information Document of the respective scheme or the registered mandate.
5. If the investor submits SIP Modification Request for an existing SIP registered with top up facility, the earlier registration and Top-up if any shall stand cancelled. The SIP and SIP Top-up details as mentioned in the SIP Modification Form shall over-write all the earlier instructions in this regard.
6. In case your existing SIP has been registered through the One Time Mandate (OTM), then you need not fill the OTM section of this form again, unless you intend to increase the amount registered as per existing OTM. Also, incase the Modified SIP Amount or SIP Top-up amount exceeds the Maximum Amount registered in the Existing OTM, you will be required to fill in the OTM Section of this Form.
7. SIP Modification request shall be liable for rejection if there is any discrepancy or if the form is not duly filled.
8. For Change of Bank Details in your existing SIP, please ensure to provide a cancelled cheque leaf of your new bank account with the name of account holder printed on it.
9. The option to modify the SIP details shall not be available to SIP registered through Stock Exchange, Channel Partners and/ or any Online platform(s).
10. Change in ARN will not be allowed during SIP Modification . The broker code mentioned on the initial SIP registration request will continue even after the SIP Modification registration request is given. In case a different broker code is specified on the SIP Modification registration request then the same will not be considered.

STAY CONNECTED WITH US

Give a missed call from your registered mobile number on **08010421326** and get an Account Statement via SMS.

Call Centre	Email ID	Online Chatbot	Website	WhatsApp
Telephone: 022 67483333 Toll Free number: 1800 200 2268 / 1800 572 2268	investorcare@unionmf.com		www.unionmf.com	93214 03687

- **TERMS AND CONDITIONS FOR ONE TIME MANDATE (OTM) REGISTRATION:**
  I. Investment through NACH (National Automated Clearing House) / ECS / Direct Debit is offered to investors having bank accounts in selected bank / cities where they have an account or located currently.
  ii. The list of such banks may be modified/ updated at any time in future entirely at the discretion of Union Mutual Fund without assigning any reasons or prior notice.
  iii. The investor agrees to abide by the terms and conditions of NACH facility of National Payments Corporation of India (NPCI). The investor assumes the entire risk of using the Auto Debit Facility and takes full responsibility for the same. Investor will not hold Union Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of NACH Debit/Auto Debit /ECS.
  iv. Union Mutual Fund reserves the right to reverse allotments in case the Auto debit is rejected by the bank for any reason whatsoever.
  v. By submitting the Auto Debit mandate the investor authorizes Union Mutual Fund to utilize the information provided herein for the purpose of investor's investments in the Mutual Fund, including creation of a folio.
  vi. Investors are required to ensure that there are adequate funds in their bank account on the date of investment transaction. Union Mutual Fund will endeavor to debit the investor bank account on the date of investment transaction, however if there is any delay all such transactions will be debited subsequently.
  vii. SIP cancellation can be done separately by submitting the request atleast 15 Business Days in advance; however the associated mandate can be retained for future investments.
  viii. Lumpsum Investment / SIP instalments in a day should be less than or equal to the maximum amount as mentioned in the Mandate Instruction.
  ix. The enrolment period i.e Start and End Month/ Year specified for the SIPs should be less than or equal to the enrolment period mentioned in the Mandate Instruction.
  x. Investments made through the One Time Mandate (OTM) Mode are subject to realization of funds from investor's bank account and the NAV guidelines will be applicable for the transactions.
  xi. Following fields need to be filled mandatorily:-
     a. Date in format DD/MM/YYYY
     b. Bank A/c Type: Tick the relevant box
     c. Bank Account Number (Investor's bank account number)
     d. Name of Destination Bank (Investor's bank)
     e. IFSC / MICR code
     f. Mention Maximum Amount such that the total of all SIP instalments in a day should be less than or equal to the Maximum Amount.
     g. Reference 1: Mention Folio Number
     h. Reference 2: Mention Application No.
     i. Phone No.
     j. Email ID
     k. Period: Start date and End Date of NACH registration (in format DD/MM/YYYY). **Maximum period of validity of this mandate is 40 years only.**
     l. Signature as per bank account records
     m. Name: Mention Bank Account Holder Name as per bank records

SIP Snapshot- Frequency, Minimum Amount and Minimum Period.

SIP Frequency	Minimum SIP Amount	Minimum Period	Default Date/Day
Daily ^	₹ 100 and in multiples of ₹ 1 thereafter	6 Days	Daily (i.e. Business Days)
Weekly	₹ 500 and in multiples of ₹ 1 thereafter	6 Weeks	Wednesday
Fortnightly	₹ 500 and in multiples of ₹ 1 thereafter	6 Fortnights	1st and 15th of the month
Monthly	₹ 500 and in multiples of ₹ 1 thereafter	6 Months	8th of the month

Note:

^ Daily Frequency is applicable to all schemes except Union Liquid Fund, Union Money Market Fund and Union Overnight Fund.

^ For Union ELSS Tax Saver Fund: Minimum SIP installment ` 500 and in multiples of ` 500 thereafter. (for all frequencies)

b) In case none of the frequencies have been selected then Monthly Frequency shall be treated as the default frequency.

c) The SIP shall commence after 25 calendar days in case of registration via new OTM (One Time Mandate). In case OTM is already registered, SIP shall commence by 10 calendar days.