



Following fields need to be filled mandatorily

1. Date: In format DD/MM/YYYY
2. Bank A/c Type: Tick the relevant box
3. Fill Bank Account Number
4. Fill name of Destination Bank
5. IFSC / MICR code: Fill respective code
6. Mention Maximum Amount
7. Reference 1: Mention URN Number
8. Reference 2: Mention Folio/Application Number
9. Telephone Number (Optional)
10. Email ID
11. Period: Starting date and the ending date of NACH registration (not more than 40 years) in the format (DD/MM/YYYY)
12. Signature as per bank account
13. Name: Mention Holder Name as Per Bank Record

Other Instructions

- NACH/OTM Bank Mandate can be used for both SIP and Lump Sum Purchase.
- Investors are allowed to perform Lump sum purchase and SIP on a same day provided the NACH/OTM bank account has the adequate funds to honor multiple debits
- NACH/OTM is applicable for both Individual and Non-Individual
- Registration of Multiple NACH/OTM forms is acceptable with different Bank and Accounts.
- Investors are requested to note that Turn Around Time(TAT) for SIP registration with new NACH /OTM will be 21 days and in case of SIP registration with existing NACH/OTM, the SIP registration TAT will be 15 days.

- Per transaction limit should be less than or equal to the amount as mentioned in NACH/OTM Form already registered or submitted, if not registered
- Investors are required to submit "NACH/OTM" registration first and only after successful registration an existing "NACH" associated with a SIP can be cancelled.
- SIP amount will not be debited if NACH/OTM registration is under process/unregistered
- NACH/OTM request will be accepted only if the "Bank" mentioned in the request form is listed in the NACH banks list. Please contact offices of Sundaram Asset Management Company for updated list of banks eligible for NACH/OTM Facility.
- Submitting NACH/OTM form does not confirm your investments in SMF unless supported by SIP Investment Form or Common Transaction forms
- Sundaram Mutual will initiate debit instructions to the investor bank account only on receipt of valid investment instruction from the investor.
- For other Terms and Conditions governing NACH/OTM payments please refer KIM or [www.sundarammutual.com](http://www.sundarammutual.com)
- NACH/OTM is applicable only for investments via debit instructions
- By submitting the NACH/OTM the investor authorizes Sundaram Mutual to utilize the information provided herein for the purpose of his/her investments in Sundaram Mutual Fund, including creation of a folio
- Investors are deemed to have read and understood the requirements and contents of Statement of Additional Information (SAI), Scheme Information Document (SID) and all other scheme related documents

|                                                                                                                                                                      |                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                         |                                                                                                                                                                                                               |                                                        |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|
| <b>Investment Details</b> (Please tick <input checked="" type="checkbox"/> )<br>(For Plans please see key scheme features)                                           | <input type="checkbox"/> Direct <input type="checkbox"/> Regular<br><input type="checkbox"/> Prosperity SIP <input type="checkbox"/> Prosperity SIP with Top-up Registration                                                                                      |                                                                                                                                                                                                         |                                                                                                                                                                                                               | <b>Option:</b> Growth<br><b>SIP Frequency:</b> Monthly |
| <b>Scheme eligible for Prosperity SIP</b>                                                                                                                            | Sundaram Mid Cap Fund<br>Sundaram Services Fund<br>Sundaram Large Cap Fund<br>Sundaram Flexi Cap Fund<br>Sundaram Large and Mid Cap Fund<br>Sundaram Focused Fund                                                                                                 | Sundaram Multi Cap Fund<br>Sundaram Small Cap Fund<br>Sundaram Dividend Yield Fund<br>Sundaram Business Cycle Fund<br>Sundaram Aggressive Hybrid Fund<br>Sundaram Financial Services Opportunities Fund | Sundaram Infrastructure Advantage Fund<br>Sundaram Consumption Fund<br>Sundaram Balanced Advantage Fund<br>Sundaram Multi Asset Allocation Fund<br>Sundaram Equity Savings Fund<br>Sundaram Multi Factor Fund |                                                        |
| <b>SIP Scheme Name</b> (Please choose any one Scheme from the list mentioned above)                                                                                  |                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                         |                                                                                                                                                                                                               |                                                        |
| <b>SIP Amount</b> (Min amount shall be ₹ 2000 and Re 1 thereafter)                                                                                                   | ₹..... In words.....                                                                                                                                                                                                                                              |                                                                                                                                                                                                         |                                                                                                                                                                                                               |                                                        |
| <b>SIP Tenure (in years)</b> (Please tick anyone <input checked="" type="checkbox"/> )                                                                               | <input type="checkbox"/> 7 <input type="checkbox"/> 10 <input type="checkbox"/> 12 <input type="checkbox"/> 15 <input type="checkbox"/> 20 <input type="checkbox"/> 25 <input type="checkbox"/> 30 (default tenure shall be 20 years when no tenure is selected.) |                                                                                                                                                                                                         |                                                                                                                                                                                                               |                                                        |
| <b>SIP Date</b> (Any date of the Month)                                                                                                                              | <div><div>D</div><div>D</div></div>                                                                                                                                                                                                                               | (SIP default date is 7th of every month, if no date is mentioned)                                                                                                                                       |                                                                                                                                                                                                               |                                                        |
| <b>Start Month/Year</b>                                                                                                                                              | M   M   Y   Y   Y   Y                                                                                                                                                                                                                                             |                                                                                                                                                                                                         |                                                                                                                                                                                                               |                                                        |
| <b>SWP Target Scheme</b> (Please choose any one Scheme from the list mentioned above and If no scheme is opted for SWP, SWP will be registered in the source scheme) |                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                         |                                                                                                                                                                                                               |                                                        |
| <b>SWP Amount</b> (the Default SWP amount as per the table refer T&C point C)                                                                                        | ₹..... In words.....                                                                                                                                                                                                                                              |                                                                                                                                                                                                         |                                                                                                                                                                                                               |                                                        |
| <b>SIP Top Up amount (Optional):</b><br>(in multiples of ₹ 500/-)                                                                                                    | ₹..... Top-up Frequency <input type="checkbox"/> Half-yearly <input type="checkbox"/> Yearly                                                                                                                                                                      |                                                                                                                                                                                                         |                                                                                                                                                                                                               |                                                        |

|                                                     |                 |
|-----------------------------------------------------|-----------------|
| <b>EXISTING OTM/FIRST INSTALLMENT BANK DETAILS:</b> |                 |
| Cheque No. ....                                     | A/c No. ....    |
| Cheque Amount ₹.....                                | Bank Name:..... |

**Declaration:** I/We • having read and understood the contents of the Statement of Additional Information / Scheme Information Document / addenda issued to the SID and KIM till date. • declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. • hereby apply for units under the scheme(s) as indicated in the application form • agree to abide by the terms, conditions, rules and regulations of the scheme(s) • agree to the terms and conditions for NACH/OTM • have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment • do not have any existing Micro SIPs/investments

which together with the current application will result in the total investments exceeding ₹ 50,000 in a financial year or a rolling period of twelve months (applicable for PAN exempt category of investors). The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

I/We hereby declare that all the particulars given herein are true, correct and complete to the best of my/our knowledge and belief. I/ We further agree not to hold Sundaram Asset Management, its sponsor, their employees, authorised agents, service providers, representatives of the

distributors liable for any consequences / losses /costs / damages in case of any of the above particulars being false, incorrect or incomplete or in case of my/our not intimating/delay in intimating any changes to the above particulars. I/We hereby authorise Sundaram Asset Management to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/us, to any Indian or foreign governmental or statutory or judicial authorities/agencies, the tax/revenue authorities and other investigation agencies and SEBI registered intermediaries without any obligation of advising me/us of the same. I/We hereby agree to provide any additional information / documentation that may be required in connection with this application.

|                                                          |                               |                                |                               |
|----------------------------------------------------------|-------------------------------|--------------------------------|-------------------------------|
| Signatures<br>[as per Mutual Fund Records / Application] |                               |                                |                               |
|                                                          | First Unit Holder's Signature | Second Unit Holder's Signature | Third Unit Holder's Signature |



**SUNDARAM MUTUAL**  
— Sundaram Finance Group —

# Sundaram Prosperity SIP

## Unlock Your Dreams

We need our savings to grow  
to realise our dreams



### Terms & Conditions

- A. The Prosperity SIP facility will be available for all investors except minors.

The Prosperity SIP can be registered in the eligible schemes for a fixed period of either 7, 10, 12, 15, 20, 25 and 30 years. Minimum Tenure is 7 years, and the default tenure shall be 20 years when no tenure is selected. Prosperity SIP can be registered in a separate/new folio or an existing folio. Standalone Existing SIP registrations cannot be converted into Prosperity SIP registrations. Prosperity SIP will be available in Physical, digital assets (Website and Mobile app) of Sundaram AMC & Exchange Platform of BSE and NSE. Prosperity SIP is not available under DEMAT mode.

The existing OTM in the folio can be used for registering Prosperity SIP whereas the SIP amount specified in the Prosperity SIP must be less than or equal to the maximum limit in the existing OTM registered.

**Any Day SIP:** Investors can choose any preferred date of the month as SIP debit date. In case the chosen date falls on a non-business day, the SIP will be processed on the immediate next business day. In case chosen date is not available in a particular month, the SIP will be processed on the last business day of the month.

Prosperity SIP is allowed only for the SIP Eligible schemes with monthly frequency. SIP and SWP shall be registered only in the Growth option of the Scheme. Investor can register multiple Prosperity SIPs in different schemes under the same folio.

SWP Scheme to be different from the Source scheme for Processing Switch and SWP. In case of no scheme provided, SWP will be processed in the same scheme without any switch.

The Eligible schemes of Prosperity SIP are specified in the Prosperity SIP Application.

*\*The AMC reserves the right to modify the list of eligible schemes from time to time. The Target Scheme is the Scheme in which the Lumpsum switch and Monthly SWP Scheme shall be registered in.*

- B. On completion of the Prosperity SIP period, the entire accumulated clear units will be Switched into a pre-defined target scheme as opted by the investor on T+15 days (T is the last SIP transaction date of the Prosperity SIP) with the applicable load. SWP will start from the target scheme or the same scheme as the SIP if no target scheme has been chosen from the following month on the same day as SIP instalment date. The plan chosen (direct or other than direct) at the time of selecting the source scheme shall also be considered for the target scheme. For example, if the investor selects "direct

plan" for the source scheme, the target scheme shall also be "direct plan" and so on. Additional purchase/ SIP Top will be allowed under the source scheme and the total clear units accumulated in the source scheme will be considered for switch to Target Scheme.

- C. Post the Switch, SWP is to be activated for an amount which is as per the amount mentioned by the investor in the form. In case the investor does not fill in any SWP amount, the default SWP amount will be derived as per the table below. For a SIP amount of ₹ 10,000. If the initial SIP registered for tenure of 10 years is ₹ 10,000 per month, then the SWP will be ₹ 15,000 (1.5x of ₹ 10,000). Please note the default SWP amounts will change proportionately to the SIP amount invested.

#### Default SWP amounts

| SIP Tenure | Default Monthly SIP |            |
|------------|---------------------|------------|
|            | Times               | Amount (₹) |
| 7 years    | 1.0 x               | 10,000     |
| 10 years   | 1.5 x               | 15,000     |
| 12 years   | 2.0 x               | 20,000     |
| 15 years   | 3.0 x               | 30,000     |
| 20 years   | 5.0 x               | 50,000     |
| 25 years   | 8.0 x               | 80,000     |
| 30 years   | 12.0 x              | 1,20,000   |

SWP under this facility shall be processed up to 31 Dec 2099 or till units (acquired under this facility or otherwise) are available in the SWP Scheme, whichever is earlier. The investor can also choose a different SWP instalment amount from the one given in the above table. In case the investor does not fill in any SWP amount, the default amount shall be as per the table mentioned.

- D. During Prosperity SIP registration itself, the SWP scheme ticked shall be the target scheme and the SWP will be registered for monthly frequency. The Start date of SWP will be the month following the trigger date and the End Date will be 31 Dec 2099. However the SWP under Prosperity SIP shall be processed till units are available. In case, the trigger date is non-business day, the next business day will be taken. SWP Date will be same as the SIP date.

- E. **Exit Load shall be applicable as follows:**

On the switch from Source scheme to target scheme in line with the respective scheme features. For subsequent SWP / redemptions / Switch-outs from the target scheme, Exit load will be calculated from the date of unit allotment/ Switch-In date. Load amounts are variable and are subject to change from time to time. Investors are requested to ascertain the applicable exit load structure prior to investing.

- F. Purchase and Switch-In (SI) is allowed under the Target Scheme and SWP amount being triggered would be on a first in first out (FIFO) basis.
- G. **Sundaram Prosperity SIP shall get discontinued in the following cases:**
- On cancellation of Prosperity SIP before the end of tenure, the underlying trigger and SWP will cease.
  - If three (3) consecutive SIP instalments are not honoured or the Bank Account [for OTM / Direct Debit / Standing Instruction] is closed.
  - In case of investor demise intimation during the SIP tenure, the switch and the SWP shall cease. In case of investor demise intimation post SIP tenure but upto Dec 2099 (or till units are available), the SWP shall cease.
  - Investors can purchase and redeem units under the scheme other than the Prosperity SIP also. Units are redeemed from a scheme in a folio on a First in First out (FIFO) basis. Thus, the impact of redemptions / switch-out on the Prosperity SIP facility registration shall be as under:  
Redemption /Switch outs can be processed in the Source Scheme during the Prosperity SIP's tenure. However, since the units in the folio are impacted by way of outflow i.e. redeemed/ switched-out, then the corresponding Switch and SWP will be discontinued. The SIP alone will continue just like a normal SIP until the completion of the SIP tenure.
- H. SIP Top-up and SIP pause is allowed under Prosperity SIP. However, for SIP Top-up, the SWP amount limit would be based on the initial SIP amount.
- I. Sundaram Prosperity SIP is an optional feature that allows initial investments through SIP, switch to another scheme after a pre-defined tenure & SWP post that. This feature does not in any way give assurance of the performance of any of the Schemes of Sundaram Mutual Fund or provide any guarantee of withdrawals through SWP mode. Prosperity SIP allows investors to switch the SIP investments to a target scheme & post completion of the SIP tenure & monthly SWP will continue from the target scheme. Kindly read full list of terms and conditions. In case the investor does not fulfil any criteria, the Prosperity SIP application may be rejected. Sundaram Mutual Fund reserves the right to reject the application.

#### General Instructions

- This facility is offered to investors having Bank accounts in select banks (269) mentioned in our website. The Banks in the list may be modified/updated/ changed/removed at any time in future entirely at the discretion of Sundaram Asset Management Ltd. ("SAMC"), Sundaram Trustee Company Ltd. ("Trustee") or Sundaram Mutual Fund ("SMF") without assigning any reasons or prior notice. SIP instructions for investors in such Banks via NACH route will be discontinued.
- The AMC/ Trustee/ SMF will not be liable for any transaction failures due to rejection by the investors bank/branch.
- SIP through NACH/OTM Facility is available on all dates of the month. In case these days are non-business days for the scheme, then SIP will be processed on the next business day.
- The end date of SIP registration for unitholders will be considered as the end date of NACH mandate or the end date mentioned by the investor whichever is earlier
- Investors are requested to note that Turn Around Time(TAT) for SIP registration with new NACH /OTM will be 21 days and in case of SIP registration with existing NACH/OTM, the SIP registration TAT will be 15 days.
- The investor agrees to abide by the terms and conditions of NACH facility of NPCI.
- Investor will not hold AMC / Trustee / SMF and its service providers responsible if the transaction is delayed or not effected by the Investor's Bank or if debited in advance or after the specific SIP date due to various reasons or for any bank charges debited by his banker in his account towards NACH Registration / Cancellation / Rejections.
- The AMC/ Trustee/ SMF reserves the right to reverse allotments in case the NACH/OTM is rejected by the bank for any reason whatsoever.
- The AMC/ Trustee/ SMF shall not be responsible and liable for any

damages/compensation for any loss, damage etc., incurred by the investor. The investor assumes the entire risk of using the facility of NACH/OTM and takes full responsibility for the same.

- SIP amount will not be debited if NACH/OTM registration is under process/unregistered
- The AMC/Trustee reserves the right to discontinue or modify the SIP facility at any time in future on a prospective basis.
- The AMC/ Trustee reserves the right to discontinue the SIP in case of Direct Debit through NACH routes are rejected by the investor bank for any reasons.
- For scheme related details, please refer to the Scheme Information Document (SID) / Key Information Memorandum (KIM) and the addendum issued from time to time.
- The AMC/ Trustee reserves the right to reject any application without assigning any reason thereof.
- SIP cancellation can be done separately by submitting the request at least 21 Calendar days in advance; however the associated NACH mandate can be retained for future investments.
- Requests for any changes / cancellation in the NACH Bank Mandate request should be submitted at least 21 Business days in advance.
- Where a one-time mandate is already registered in a folio for a bank account, the Unit Holder(s) will have to fill only the SIP Registration Form and there is no need of a separate cheque to be given along with the SIP Registration Form.

#### The following applications will be considered as 'Not In Good Order' (NIGO) and are liable to be rejected:

- If folio number mentioned in the Fresh / Additional Purchase, SIP NACH/OTM form, does not match Folio Number mentioned in NACH/OTM registration mandate Form.
- If the folio number mentioned in the NACH/OTM mandate registration form does not match with our records, the NACH/OTM mandate will not be registered.
- If the SIP period mentioned in SIP via NACH/OTM form is beyond the NACH/OTM validity period or NACH/OTM validity period expired.

#### Frequency for Top-up SIP

- Investors subscribing to this facility are required to submit the request at least 21 days prior to the SIP Top-up date.
- SIP Top-Up facility can be availed at half yearly and yearly intervals. In case the frequency is not specified, the Top up will be processed with the default yearly frequency.
- For Monthly SIP
  - Half yearly Top-up SIP under this option, the amount of investment through SIP instalment shall be increased by the amount chosen /designated by investor post every 6th (sixth) SIP instalment.
  - Yearly Top-up SIP under this option, the amount of investment through SIP instalment shall be increased by the amount chosen /designated by investor post every 12th (twelfth) SIP instalment.
- The Top-up cap amount should not exceed the maximum amount as mentioned in the NACH mandate. In case the Top-up cap amount exceeds the maximum amount as mentioned in the NACH mandate, then the lesser amount shall be considered as the default cap amount.
- The Top-up details cannot be modified once enrolled. In order to make any changes, the investor must cancel the existing SIP and register for a fresh SIP with Top-up option.
- In case the SIP Top-up is cancelled the SIP will continue to be processed with the last topped up amount till the SIP end date.

**Stamp Duty:** Pursuant to Notification No. S.O. 1226(E) and G.S.R. 226(E) dated March 30, 2020 issued by Department of Revenue, Ministry of Finance, Government of India, read with Part I of Chapter IV of The Finance Act, 2019, notified on February 21, 2019 issued by Legislative Department, Ministry of Law and Justice, Government of India, a stamp duty @0.005% of the transaction value of units would be levied on applicable mutual fund inflow transactions, with effect from July 1, 2020. Accordingly, pursuant to levy of stamp duty, the number of units allotted on purchase transactions (including IDCW reinvestment and switching) to the Unit holders would be reduced to that extent.