SWP FORM (NEW SWP / TOP-UP / CAPITAL APPRECIATION)

PGIM India Mutual Fund

Distributor Code	Sub-Distributor	Sub-Distributor Code	EUIN*
ARN -	RIA -		

1. INVESTOR DETAILS (Please provide the following details in full)

Name: First Name Middle Name Last Name

Folio No.

PAN

Frist/Sole Unitholder/Gaurdian Second Unitholder Third Unitholder

2. ☐ NEW SWP / ☐ NEW SWP WITH TOP-UP / ☐ EXISTING SWP WITH TOP-UP / ☐ NEW SWP WITH CAPITAL APPRECIATION / ☐ EXISTING SWP WITH CAPITAL APPRECIATION

Scheme PGIM INDIA **Plan** (✓) ☐ Regular ☐ Direct

Option (✓) ☐ Growth ☐ IDCW Payout ☐ IDCW Reinvestment **Withdrawal Frequency** (✓) ☐ Monthly ☐ Quarterly ☐ Annual

Withdrawal Instalment ₹ Withdrawal Date (✓) D D

No. of Instalments Start date M M Y Y Y Y End date M M Y Y Y Y

3. SWP TOP-UP

Top Up Amount ₹ Top Up Frequency (✓) ☐ Half Yearly* ☐ Yearly

☐ Top Up to continue till# DD/MM/YYYY ☐ Top Up to continue till SWP amount reaches^ ₹

* Default option if not selected. # It is the date from which SWP Top Up amount will cease. ^ SWP Top Up will cease once the mentioned amount is reached.

4. SWP CAPITAL APPRECIATION

Scheme PGIM INDIA **Plan** (✓) ☐ Regular ☐ Direct

Option (✓) ☐ Growth ☐ IDCW Payout ☐ IDCW Reinvestment

☐ **Monthly** ☐ **Quarterly** ☐ **Annual** Withdrawal Date (✓) D D

☐ **Weekly** (SWP Day) (✓) ☐ Mon ☐ Tue ☐ Wed ☐ Thu ☐ Fri

No. of Instalments Start date M M Y Y Y Y End date M M Y Y Y Y

5. DECLARATION & SIGNATURES (To be signed as per the Existing Mode of Holding)

DECLARATION & SIGNATURE(S) : I/We hereby declare that the particulars given above are correct and express my willingness to make payments referred above to debit my/our account directly or through participation in Auto Debit. If the transaction his delayed or not effected at all for reasons of incomplete or incorrect information. I/We would not hold the user institution responsible. I/We will also inform AMC, about any changes in my/our bank account. I/We have read and agreed to the terms and conditions mentioned. I/We confirm that the ARN Holder has disclosed to me/us all the commissions (in the form of trail commission or any Other mode), payable to him for different competing Schemes of various Mutual Funds from amongst which the Scheme is recommended to me/us. **For investors investing in Direct Plan:** I/We hereby agree that the AMC has not recommended or advised me/us regarding the suitability or appropriateness of the product/scheme/plan. **Applicable to Micro Investors (Delete if not applicable):** I/We hereby declare that I/We do not have any existing Micro Investments which together with the current application will result in aggregate investments exceeding ₹ 50,000 in a year.

I/We, hereby apply to the Trustee of PGIM India Mutual Fund for allotment of units of the respective Scheme(s) of PGIM India Mutual Fund, as indicated above and agree to abide by the terms, conditions, rules and regulations of the relevant Scheme(s). I/We declare that I am/We are authorised to make this investment and the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. I/We have read and understood the contents of the respective Scheme Information Document, Statement of Additional Information and Key Information Memorandum of PGIM India Mutual Fund. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this transaction. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. For investors investing in Direct Plan : I/We hereby agree that the AMC has not recommended or advised me/us regarding the suitability or appropriateness of the product / scheme / plan. Please 3 if the EUIN space is left blank : I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/ relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

Investor Details	1st Holder	2nd Holder	3rd Holder
Name			
SIGNATURE (S)	✘	✘	✘

Date D D M M Y Y Y Y Place

SWP_V1 MAY 2025

PGIM India Mutual Fund

SWP FORM (NEW SWP / TOP-UP / CAPITAL APPRECIATION) - Acknowledgement Slip (To be filled in by the Investor)

☐ New SWP / ☐ New SWP with Top-Up / ☐ Existing SWP with Top-Up / ☐ New SWP with Capital Appreciation / ☐ Existing SWP with Capital Appreciation

Folio No. Date D D M M Y Y Y Y

Received from Mr./Ms./Mrs.

Acknowledgment Stamp

Instructions for New SWP:

1. The minimum withdrawal amount under SWP facility is the same as the minimum redemption amount under the scheme.
2. The SWP transaction is available on any date for monthly, quarterly and annual option.
3. Minimum no. of Instalment should be 5 for SWP of Rs. 1000/- each and in multiples of Re. 1/- thereafter for all open ended scheme.
4. Frequency of Annual is any date.
5. Please note that SWP request would be registered within 3 business days from the date of submission of form (excluding submission date).
6. If any SWP instalment due date falls on a non-Business day, then the respective transactions will be processed on the next Business day, the transaction shall be effected on the immediate next Business day.
7. If the SWP period or no. of installments is not specified in the transaction Form, the SWP transactions will be processed until the balance of units in the unit holder's folio in the Source scheme becomes zero.
8. The SWP mandate may be discontinued by a Unit holder by giving a notice of 7 days.
9. SWP mandate will terminate automatically if all Units held by the unitholder in the Scheme are redeemed or upon the Mutual Fund receiving a written intimation of pledged or death of the sole / 1st Unit holder.
10. SWP will stop if scheme balance is NIL.
11. For PGIM India ELSS Tax Saver Fund & PGIM India Retirement Fund, SWP is available only after completion of lock in period.
12. The SWP transfer will be processed to the default bank account registered under the folio.

Instructions for SWP with Top-Up: Investors can opt for SWP Top Up facility wherein the amount of SWP can be increased at fixed intervals.

1. Top-up facility under Systematic Withdrawal Plan is an amount based facility and applicable for all open ended schemes except PGIM India ELSS Tax Saver Fund & PGIM India Retirement Fund. For PGIM India ELSS Tax Saver Fund & PGIM India Retirement Fund, SWP is available only after completion of lock in period.
2. Eligible frequencies under SWP for Top Up is Monthly, Quarterly and Annual.
3. Half Yearly and Yearly Top Up options will be available for investors under Monthly and Quarterly frequency. Yearly Top Up option will be available for investors under Annual frequency.
4. Under the Half Yearly Top Up option, Monthly Frequency, the amount of investment through SWP installment shall be increased post every 6th SWP installment. In case of Quarterly Frequency, the amount of investment through SWP installment shall be increased post every 2nd SWP installment.
5. Under the Yearly Top Up option, Monthly Frequency, the amount of investment through SWP installment shall be increased post every 12th SWP installment. In case of Quarterly Frequency, the amount of investment through SWP installment shall be increased post every 4th SWP installment.
6. Under the Yearly Top Up option, Annual Frequency, the amount of investment through SWP installment shall be increased yearly
7. Minimum Top Up amount is Rs.1000/- and in multiples of Re.1
8. Default Top Up option will be Half Yearly Top Up for Monthly and Quarterly Frequency. In case of Annual Frequency, Default Top Up option will be Yearly Top Up.
9. Load Structure of the Scheme as on the date of enrolment of SWP shall be applicable.
10. This facility will not be available for SWP registered via Exchange and Channel Partners.
11. The broker code for initial SWP registration request will remain the same for SWP Top-Up request.
12. If the SWP period or number of instalments are not specified by the investor in the form, the transactions will be processed until the balance of units in the scheme becomes zero in the folio.

Instructions for SWP with Capital Appreciation option:

1. SWP request with capital appreciation would be registered within 3 days from the date of submission of form for new and existing investors.
2. SWP Capital Appreciation is available for all open ended schemes of the Mutual Fund and shall be allowed for ELSS and Retirement scheme only after the completion of lock in period.
3. For any SWP due date, if the capital appreciation amount is falling short of minimum withdrawal amount, SWP transaction on that due date shall not be processed.
4. Minimum number of Instalment should be 5 for SWP of Rs. 1000/- each and in multiples of Re. 1/- thereafter.
5. Weekly, Monthly, Quarterly & Annual interval, investor will be eligible to transfer the capital appreciation amount (Minimum amount is Rs.1000/-) on the date opted by the investor.
6. If the selected day is a non business day, SWP Capital Appreciation will be processed on next business day.
7. Capital Appreciation to be calculated as; Capital Appreciation Amount = Value as on the date of trigger – Value as on the date of Capital Appreciation registration for the applicable units.
8. SWP Capital Appreciation may be discontinued by the investor giving a notice of 7 days.
9. If the number of instalments is not specified, the withdrawal of capital appreciation will remain active until there is a balance in the scheme.