STP FORM (NEW STP / TOP-UP / CAPITAL APPRECIATION)

PGIM India Mutual Fund

Distributor Code	Sub-Distributor	Sub-Distributor Code	EUIN*
ARN -	RIA -		

1. INVESTOR DETAILS (Please provide the following details in full)

Name: First Name Middle Name Last Name

Folio No.

PAN

Frist/Sole Unitholder/Gaurdian | Second Unitholder | Third Unitholder

2. ☐ NEW STP / ☐ NEW STP WITH TOP-UP / ☐ EXISTING STP WITH TOP-UP / ☐ NEW STP-CAPITAL APPRECIATION / ☐ EXISTING STP - CAPITAL APPRECIATION

From Scheme **PGIM INDIA** Plan

Option (✓) ☐ Growth ☐ IDCW Payout* ☐ IDCW Reinvestment*

Note: Top up facility available for monthly and quarterly frequency only.

To Scheme **PGIM INDIA** Plan

Option (✓) ☐ Growth ☐ IDCW Payout* ☐ IDCW Reinvestment*

*IDCW option is not available for FOF Schemes.

Transfer Instalment Amount ₹ Starting Date D D M M Y Y Y Y Ending Date D D M M Y Y Y Y

☐ **Daily** (Note: Daily STP would be processed on all business days)

☐ **Weekly** (STP Day) (✓) ☐ Mon ☐ Tue ☐ Wed ☐ Thu ☐ Fri No. of Instalments

☐ **Monthly^** ☐ **Quarterly** (STP Date) D D (Any date of the month)

^For FOF scheme only Monthly frequency is available.

3. STP TOP-UP

Top Up Amount ₹ Top Up Frequency (✓) ☐ Half Yearly* ☐ Yearly

☐ Top Up to continue till# DD/MM/YYYY ☐ Top Up to continue till STP amount reaches^ ₹

* Default option if not selected. # It is the date from which STP Top Up amount will cease. ^ STP Top Up will cease once the mentioned amount is reached.

4. STP CAPITAL APPRECIATION

From Scheme **PGIM INDIA** Plan

Option (✓) ☐ Growth ☐ IDCW Payout* ☐ IDCW Reinvestment*

To Scheme **PGIM INDIA** Plan

Option (✓) ☐ Growth ☐ IDCW Payout* ☐ IDCW Reinvestment*

*IDCW option is not available for FOF Schemes.

Starting Date D D M M Y Y Y Y Ending Date D D M M Y Y Y Y

☐ **Weekly** (STP Day) (✓) ☐ Mon ☐ Tue ☐ Wed ☐ Thu ☐ Fri No. of Instalments

☐ **Monthly** ☐ **Quarterly** (STP Date) D D (Any date of the month)

5. DECLARATION & SIGNATURES (To be signed as per the Existing Mode of Holding)

DECLARATION & SIGNATURE(S) : I/We hereby declare that the particulars given above are correct and express my willingness to make payments referred above to debit my/our account directly or through participation in Auto Debit. If the transaction his delayed or not effected at all for reasons of incomplete or incorrect information. I/We would not hold the user institution responsible. I/We will also inform AMC, about any changes in my/our bank account. I/We have read and agreed to the terms and conditions mentioned. I/We confirm that the ARN Holder has disclosed to me/us all the commissions (in the form of trail commission or any Other mode), payable to him for different competing Schemes of various Mutual Funds from amongst which the Scheme is recommended to me/us. **For investors investing in Direct Plan:** I/We hereby agree that the AMC has not recommended or advised me/us regarding the suitability or appropriateness of the product/scheme/plan. **Applicable to Micro Investors (Delete if not applicable):** I/We hereby declare that I/We do not have any existing Micro Investments which together with the current application will result in aggregate investments exceeding ₹ 50,000 in a year.

I/We, hereby apply to the Trustee of PGIM India Mutual Fund for allotment of units of the respective Scheme(s) of PGIM India Mutual Fund, as indicated above and agree to abide by the terms, conditions, rules and regulations of the relevant Scheme(s). I/We declare that I am/We are authorised to make this investment and the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. I/We have read and understood the contents of the respective Scheme Information Document, Statement of Additional Information and Key Information Memorandum of PGIM India Mutual Fund. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this transaction. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. For investors investing in Direct Plan : I/We hereby agree that the AMC has not recommended or advised me/us regarding the suitability or appropriateness of the product / scheme / plan. Please 3 if the EUIN space is left blank : I/We hereby confirm that the EUIN box has been intentionally left blank by me/ us as this is an "execution only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/ relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

Investor Details	1st Holder	2nd Holder	3rd Holder
Name			
SIGNATURE (S)	✘	✘	✘

Date D D M M Y Y Y Y Place

STP_V1 SEPT. 2025

PGIM India Mutual Fund

STP FORM (NEW STP / TOP-UP / CAPITAL APPRECIATION) - Acknowledgement Slip (To be filled in by the Investor)

☐ New STP / ☐ New STP with Top-Up / ☐ Existing STP with Top-Up / ☐ New STP-Capital Appreciation / ☐ Existing STP-Capital Appreciation

Folio No. Date D D M M Y Y Y Y

Received from Mr./Ms./Mrs.

Acknowledgment Stamp

Instructions for New STP:

Frequency	Minimum Amount (Rs.)	Multiple of (Rs.)	Minimum no. of Instalments
STP - All open ended schemes except PGIM India ELSS Tax Saver Fund and PGIM India Retirement Fund			
Daily, Weekly, Monthly and Quarterly	1000	1	5
STP - Target Scheme - PGIM India ELSS Tax Saver Fund ; Source Scheme - All open ended schemes			
Daily	500	500	12
Weekly, Monthly and Quarterly	1000	500	6

1. The STP transaction is available on any date for monthly and quarterly and for weekly any day from Monday to Friday will be consider.
2. For FOF scheme only monthly frequency is available.
3. In case if the start date is not provided by the unit holder, then the first STP would be the 7th working day from the date of submission of the form (excluding Submission date).
4. For Physical STP registration requests, the first instalment would get triggered on the 3rd working day from the date of submission of the form (excluding Submission date)
5. Load Structure of the Scheme & Target Schemes as on the date of enrolment of STP shall be applicable.
6. STP Top Up facility is amount based facility and applicable for all Open ended schemes except ELSS Tax Saver and Retirement Fund.
   The provision of 'Minimum redemption amount' specified in the SID of Scheme and 'Minimum application amount' specified in the SID of the Target Schemes will not be applicable for Daily STP.
7. As Source Scheme for PGIM India ELSS Tax Saver Fund & PGIM India Retirement Fund, STP is available only after completion of lock in period.
8. Transfer to ELSS Scheme should be mentioned in amount (Multiple of 500).
9. Please note that STP request would be registered within 3 business days from the date of submission of form (excluding submission date).
10. If any STP instalments due date falls on a non-Business day, then the respective transactions will be processed on the next Business day.
11. If the STP period or no. of instalments is not specified by the applicant in the transaction form, STP mandate will terminate automatically if all Units from the respective source scheme are redeemed or upon the Mutual Fund receiving a written intimation of death of the sole / 1st Unit holder.
12. The STP mandate may be discontinued by a Unit holder by giving a notice of 7 days.
13. STP will stop if source scheme balance is NIL.

Instructions for STP with Top-Up: Investors can opt for STP Top Up facility wherein the amount of STP can be increased at fixed intervals.

1. Top-up facility under Systematic Transfer Plan is an amount based facility and applicable for all open ended schemes except PGIM India ELSS Tax Saver Fund & PGIM India Retirement Fund as Source schemes. These 2 schemes will be considered as Source schemes only after completion of lock in period.
2. Eligible frequencies under STP for Top Up is Monthly and Quarterly.
3. Half Yearly and Yearly Top Up options will be available for investors under Monthly frequency. Similarly the same top up options will be available for Quarterly frequency.
4. Under the Half Yearly Top Up option, Monthly Frequency, the amount of investment through STP installment shall be increased post every 6th STP installment. In case of Quarterly Frequency, the amount of investment through STP installment shall be increased post every 2nd STP installment.
5. Under the Yearly Top Up option, Monthly Frequency, the amount of investment through STP installment shall be increased post every 12th STP installment. In case of Quarterly Frequency, the amount of investment through STP installment shall be increased post every 4th STP installment.
6. Minimum Top Up amount is Rs.1000/- and in multiples of Re.1 (in multiples of Rs.500/- in case of STP into PGIM India ELSS Tax Saver Fund)
7. Default Top Up option will be Half Yearly Top Up.
8. Load Structure of the Source Scheme & Target Scheme as on the date of enrolment of STP shall be applicable.
9. This facility will not be available for STP registered via Exchange and Channel Partners.
10. The broker code for initial STP registration request will remain the same for STP Top-Up request.
11. If the STP period or number of installments are not specified by the investor in the form, the transactions will be processed until the balance of units in Source scheme becomes zero in the folio.

Instructions for STP with Capital Appreciation option:

1. STP request with capital appreciation would be registered within 3 days from the date of submission of form for new and existing investors.
2. STP Capital Appreciation is available for all open ended schemes of the Mutual Fund and shall be allowed for ELSS and Retirement scheme (as Source Scheme) only after the completion of lock in period.
3. For any STP due date, if the capital appreciation amount is falling short of minimum transfer amount, STP transaction on that due date shall not be processed.
4. Minimum number of Instalment should be 5 for STP of Rs. 1000/- each and in multiples of Re. 1/- thereafter, for all open ended schemes and for ELSS Scheme minimum number of instalment should be 6 for Rs.1000/- each and in multiples of Rs.500/-.
5. Weekly, Monthly & Quarterly interval, investor will be eligible to transfer the capital appreciation amount (Minimum amount is Rs.1000/-) on the date opted by the investor.
6. If the selected day is a non business day, STP Capital Appreciation will be processed on next business day.
7. Capital Appreciation to be calculated as; Capital Appreciation Amount = Value as on the date of trigger – Value as on the date of Capital Appreciation registration for the applicable units.
8. STP Capital Appreciation may be discontinued by the investor giving a notice of 7 days.
9. If the number of instalments is not specified, the transfer of capital appreciation will remain active until there is a balance in the scheme.