

UNITHOLDER INFORMATION
Folio No/s.

Name of 1st Holder

Name of 2nd Holder

Name of 3rd Holder

I/We, the above named investors of Kotak Mahindra Mutual Fund, do hereby nominate the person(s) hereunder to receive the Units held by my/ our Folio/s listed above in the event of my/ our death as trustee and on behalf of my/ our legal heir(s).

NOMINATION DETAILS (MANDATORY)

Name of 1st Nominee:

% of Allocation**:

Relationship with Applicant: ☐ Spouse ☐ Father ☐ Mother ☐ Daughter ☐ Son ☐ Others (please specify)

Postal Address:

Email Address:

Mobile Number:

Identity Document: ☐ PAN ☐ Driving License ☐ Aadhaar ☐ Passport (NRI/ PIO/ OCI only)

Identity No.: (if Aadhaar, last 4 digits only)

Nominee Date of Birth (Mandatory only if Nominee is a Minor):

Guardian's Name:

Name of 2nd Nominee:

% of Allocation**:

Relationship with Applicant: ☐ Spouse ☐ Father ☐ Mother ☐ Daughter ☐ Son ☐ Others (please specify)

Postal Address:

Email Address:

Mobile Number:

Identity Document: ☐ PAN ☐ Driving License ☐ Aadhaar ☐ Passport (NRI/ PIO/ OCI only)

Identity No.: (if Aadhaar, last 4 digits only)

Nominee Date of Birth (Mandatory only if Nominee is a Minor):

Guardian's Name:

Name of 3rd Nominee:

% of Allocation**:

Relationship with Applicant: ☐ Spouse ☐ Father ☐ Mother ☐ Daughter ☐ Son ☐ Others (please specify)

Postal Address:

Email Address:

Mobile Number:

Identity Document: ☐ PAN ☐ Driving License ☐ Aadhaar ☐ Passport (NRI/ PIO/ OCI only)

Identity No.: (if Aadhaar, last 4 digits only)

Nominee Date of Birth (Mandatory only if Nominee is a Minor):

Guardian's Name:

** if % is not specified, then the assets shall be distributed equally amongst all the nominees. Any odd lot after division/ fraction of %, shall be transferred to the first nominee mentioned in the nomination form.

NOMINEE DETAILS TO BE PRINTED IN STATEMENT OF HOLDING (MANDATORY - TICK ANY ONE)

I/ We want the details of me/ our nominee to be printed in the statement of holding or statement of account, provided to me/ us by the AMC as follows: (please tick, as appropriate)

☐ Nomination: Yes/ No ☐ Name of Nominee(s) with Percentage

If no option is selected, the account statement will by default display the Nomination status as 'Nomination: Yes/No' without revealing Nominee name(s)

UNIT HOLDER(S) SIGNATURE(S) & DECLARATION

I/ We have read and understood the instructions on nomination given below/ overleaf and I/We hereby undertake to abide by the same. The instructions contained herein supercedes all previous nominations made by me/ us in respect of the folio(s) mentioned above.

Name(s) of Holder(s)	Signature(s) of holder/ thumb impression	Signatures of two witnesses*	Name of Witness & Address (wherever applicable)*
Sole/ First Holder			
Second Holder			
Third Holder			

* Signature of two witness(es), along with name and address are required, if the account holder affixes thumb impression, instead of wet signature.

Instructions

1. The nomination can be made only by individuals applying for/ holding units on their own behalf, singly or jointly.
2. You can make nomination or change nominee any number of times without any restriction.
3. Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu Undivided Family, a Power of Attorney holder and/ or Guardian of Minor unitholder cannot nominate.
4. Nomination is not allowed in a folio of a Minor Unitholder.
5. If the units are held jointly (i.e., in case of multiple unitholders in the folio), the nomination form can be signed by any or all holders, as per the mode of operation of the folio.
6. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
7. The Nominee shall not be a trust (other than a religious or charitable trust), Society, Body Corporate, Partnership Firm, Karta of Hindu Undivided Family or a Power of Attorney holder.
8. A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
9. **Multiple Nominees:** Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding upto a total of 100%. If the percentage of allocation/share for each of the nominee is not mentioned, the allocation /claim settlement shall be made equally amongst all the nominees. Any odd lot after division shall be assigned / transferred to the first nominee mentioned in the form.
10. Every new nomination for a folio/ account shall overwrite the existing nomination, if any.
11. Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio/ account.
12. **Death of Nominee/s:** In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, the said nominees share will be distributed on pro rata basis to surviving nominees.
13. **Death of Unitholder(s):** In the event of the unitholder's death, the surviving joint holder(s) shall have the right to continue, modify, or revoke the previously made nominations.
14. The Nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
15. In respect of folios/ accounts where the Nomination has been registered, the AMC will not entertain any request for transmission/ claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.

