

For Existing Individual Unitholder(s) holding units in physical mode (Mandatory for units held Singly and Optional for units held Jointly)
Read the instructions carefully before filling up this form and fill in the information below legibly in English and in CAPITALS.

Date _____

Folio No(s) :

Note: Mode and pattern of holdings of all unitholders need to be same in the above folios.

Sole/First Unit Holder	
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Second Unit Holder _____

Third Unit Holder

☐ REGISTRATION ☐ CHANGE ☐ *CANCELLATION *In case of cancellation of Nomination, it is mandatory to provide opt-out declaration provided below.

I / We hereby nominate the following person(s) who shall receive all the assets held in my / our account / folio in the event of my / our demise, as trustee and on behalf of my / our legal heir(s)** subject to nature of event as specified in the table below. This nomination shall supersede any prior nomination made by me / us, if any.

Nomination Details								
	Mandatory Details						Additional Details *****	
	Name of Nominee(s) (Recommended else read and tick (✓) the opt-out declaration below)	Proportion (%) in which the assets will be shared by each Nominee***	Relationship with Applicant (If any)	Address of Nominee(s)/ Guardian in case of Minor Nominee	Mobile No. / Telephone No. & Email ID of nominee(s) / Guardian in case of Minor Nominee	Identity Number of Nominee/ Guardian (in case of Minor Nominee)**** PAN or Driving Licence or Aadhaar (last 4 Digits) or Passport (for NRIs/ OCIs/PIOs)	D.o.B. of Nominee (Mandatory if nominee is minor)	Guardian Name (Mandatory if nominee is minor)
Nominee 1								
Nominee 2								
Nominee 3								

****Joint Accounts:**

Event	Transmission of Account / Folio to
Demise of one or more joint holder(s)	Surviving holder(s) through name deletion The surviving holder(s) shall inherit the assets as Owners
Demise of all joint holders simultaneously - having nominee	Nominee / In case of multiple nominees in proportion % as defined
Demise of all joint holders simultaneously - not having any nominee	Legal heir(s) of the youngest joint holder as determined by D.o.B. captured in our records

*** if % is not specified, then the assets shall be distributed equally amongst all the nominees. **Any odd lot after division shall be transferred to the first nominee mentioned in the form**

**** Provide only number: PAN or Driving Licence or Aadhaar (last 4 digits). Copy of the document is not required. However, in case of NRIs/OCIs/PIOs, Passport number is acceptable.

***** to be furnished only in following conditions / circumstances:

- Date of Birth (DoB): please provide, only if the nominee is minor.
- Guardian: It is mandatory for you to provide, if the nominee is minor.

Declaration for opting out of Nomination (To be provided only where nomination is not provided)

[Please (✓)] ☐ I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our MF Folio/ demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our MF Folio / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the MF Folio / demat account.

I / We want the details of my / our nominee to be printed in the statement of holding or statement of account, provided to me/ us by the AMC / DP as follows:

(please tick, as appropriate)	☐ Name of nominee(s) with %	☐ Nomination: Yes / No (Default)
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Note:

1. In case of varied requests viz. registration/change/cancellation, please fill-in separate Nomination form
2. All joint holders should sign, even in case of Anyone or Survivor' mode of operation.
3. The allocation/share should be in whole numbers without any decimals making a total of 100 percent. If the percentage of share is not mentioned then the claim will be settled equally amongst all the indicated nominee(s)

UNITHOLDER(S) SIGNATURE(S)

Declaration :

I/We hereby declare and confirm that the information provided in this form is true and correct and is duly supported by the document proof enclosed alongwith the form wherever applicable. I/We further agree and confirm that in the event there is any discrepancy between the information provided herein and the supporting documents, the AMC/Mutual Fund shall be entitled to reject the form. The AMC/Mutual Fund shall not be liable and/or responsible for any loss or damage that I/we may incur if the Form is rejected.

Sign Here

Sign Here

Sign Here

First / Sole Applicant

Second Holder

Third Holder

Name(s) of Witness(s)	Address of Witness(s)	Witness Signature ^A

^ASignature of two witness(es), along with name and address are required, if the account holder affixes thumb impression, instead of wet signature.

Alterations in the form, if any should be countersigned.

Instructions for Nomination

1. Pursuant to Regulation 29A of the SEBI Regulations and clause 17.16 of SEBI Master Circular, the AMC provides an option to the Unitholder to submit either the nomination form or the declaration form for opting out of nomination in physical (using the format available on the website of the Fund viz., www.mahindramanulife.com) or online mode, as per the choice of the unit holder(s).

In case of physical option, the forms shall carry the wet signature of all the unit holder(s). In case of online option, instead of wet signature(s) of all the unit holder(s), the AMC shall validate the forms in the following manner:

- a) through two factor authentication in which one of the factors shall be a One-Time Password sent to the unit holder at his/her email/phone number registered with the AMC; or
- b) by using e-Sign facility recognized under Information Technology Act, 2000; as and when this facility is made available;

Where the Units are held by more than one person jointly, the joint Unit holders may together nominate a person(s) in whom all the rights in the Units shall vest in the event of death of all the joint Unit holders. By provision of this facility the AMC is not in any way attempting to grant any rights other than those granted by law to the nominee(s).

A nomination in respect of the Units does not create an interest in the investments after the death of the Unit holder. The nominee(s) shall receive the Units only as an agent and trustee for the legal heirs or legatees as the case may be.

The mode of operation (of the joint folio), namely that of the first named holder OR anyone or survivor OR either or survivor basis OR joint, etc. shall be unaffected by the rule of survivorship.

2. It is mandatory for all new investors/unitholders under "Single" mode of holding to provide Nomination details (including nominee name, address and relationship) or Opt out of the nomination by providing specific details / declaration in the 'Nomination' section of the form. In the absence of the said details, the application form shall be liable for rejection. However, it is advisable for all existing investors/unitholders under "Single" as well "Joint" mode of holding to provide 'choice of nomination' in their folios for ensuring smooth transmission of securities held by them.
3. The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly.
4. Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder cannot nominate.
5. Nomination is not allowed in a folio where investments are made on behalf of a Minor.
6. In case a folio has joint holders, all joint holders should sign the request for nomination/ change / cancellation of nomination, even if the mode of holding is 'either or survivor'
7. Minor(s) can be nominated and in such cases, the date of birth of minor, name of the parent/ legal guardian representing such minor nominee(s) shall be provided mandatorily by the Unit holder(s).
8. Only the following categories of Indian Residents can be nominated: (a) individuals (b) minors through parent/legal guardian (c) religious and charitable trusts and (d) Central Government, State Government, a local authority or any person designated by virtue of his office. The Nominee shall not be a trust other than a religious or charitable trust, society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder.
9. Nomination in respect of the units stands rescinded upon the transfer of units.
10. A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
11. Multiple Nominees: Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding upto a total of 100%. If the total percentage of allocation amongst multiple nominees does not add up to 100%, the nomination request shall be treated as invalid and rejected. In the event of the Unit holder(s) fail to indicate the percentage of allocation/share for each of the nominees, the Fund/ AMC, by invoking default option shall settle the claim equally amongst all the nominees. **Any odd lot after division shall be transferred to the first nominee mentioned in the form.**

12. Every new nomination for a folio will overwrite the existing nomination, if any.
13. Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio / account.
14. Death of Nominee/s: In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, upon demise of one of the nominees prior to the demise of the investor and if no change is made in the nomination, then the assets shall be distributed to the surviving nominees on pro rata basis upon demise of the investor.
15. Transmission of units in favour of a Nominee(s) shall be valid discharge by the asset management company/ Mutual Fund / Trustees against the legal heir(s).
16. The nomination will be registered only when the form is completed in all respects to the satisfaction of the AMC.
17. In respect of folios/accounts where the Nomination has been registered, the AMC will not entertain any request for transmission / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.
18. Cancellation of nomination can be made only by those Unit holder(s) who hold Units on their own behalf either singly or jointly and who made the original nomination. On cancellation of nomination, the nomination shall stand rescinded and the Fund/ AMC shall not be under any obligation to transmit the Units in favour of the nominee(s).
19. In case of investors opting to hold the Units in demat form, the nomination details provided by the investor to the depository participant will be applicable.
20. Investors may note that where the Units are transferred in favour of the nominee, the "Know Your Customer" norms, where applicable will have to be fulfilled by the nominee(s).

Rights, Entitlement and Obligation of the investor and nominee:

- If you are opening a new demat account / MF folios, you have to provide nomination.
- You can make nomination or change nominee any number of times without any restriction.
- You are entitled to receive acknowledgement from the AMC / DP for each instance of providing or changing nomination.
- Upon demise of the investor, the nominees shall have the option to either continue as joint holders with other nominees or for each nominee(s) to open separate single account / folio.
- In case all your nominees do not claim the assets from the AMC / DP, then the residual unclaimed asset shall continue to be with the AMC in case of MF units and with the concerned Depository in case of Demat account.
- You have the option to designate any one of your nominees to operate your account / folio, in case of your physical incapacitation, at any point of time and not just during opening of account / folio. This mandate can be changed any time you choose.

Transmission aspects

- AMCs / DPs shall transmit the folio / account to the nominee(s) upon receipt of 1) copy of death certificate and 2) completion / updation of KYC of the nominee(s). The nominee is not required to provide affidavits, indemnities, undertakings, attestations or notarization.
- In case of a joint account / folio, for transmission to the surviving joint holder(s) by name deletion, the surviving joint holder(s) shall have the option to update residential address(es), mobile number(s), email address(es), bank account detail(s), annual income and nominee(s), either along with transmission or at a later date. The regulated entity cannot seek KYC documents at the time of transmission, unless it was sought earlier but not provided by the holder.
- Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall lie against the AMC / DP.
- In case of multiple nominees, the assets shall be distributed pro-rata to the surviving nominees, as illustrated below.

% share as specified by investor at the time of nomination		% assets to be apportioned to surviving nominees upon demise of investor and nominee 'A'			
Nominee	% Share	Nominee	% Initial Share	% of A's Share to be Apportioned	Total % Share
A	60%	A	0%	0%	0%
B	30%	B	30%	45%	75%
C	10%	C	10%	15%	25%
Total	100%	-	40%	60%	100%