FORM FOR NOMINATION/OPTING-OUT OF NOMINATION

UTI Mutual Fund

I / We hereby nominate the following person(s) who shall receive all the assets held in my / our account / folio in the event of my / our demise, as trustee and on behalf of my / our legal heir(s) *

Folio No

Sole/ First Holder Name

Second Holder Name

Third Holder Name

NOMINATION DETAILS

NAME OF NOMINEE 1

Share of nominee (%)** Relationship with applicant***

Postal Address***

City State Country Pin

Mobile number*** E-mail***

Proof of Identity*** ☐ Pan ☐ Driving Licence ☐ Aadhar ☐ Passport number in case of NRI/ OCI/ PIO

Identification No*** ****DOB of nominee D D M M Y Y Y Y

Guardian

Date of Birth is mandatory in case of minor nominee(s).

NAME OF NOMINEE 2

Share of nominee (%)** Relationship with applicant***

Postal Address***

City State Country Pin

Mobile number*** E-mail***

Proof of Identity*** ☐ Pan ☐ Driving Licence ☐ Aadhar ☐ Passport number in case of NRI/ OCI/ PIO

Identification No*** ****DOB of nominee D D M M Y Y Y Y

Guardian

Date of Birth is mandatory in case of minor nominee(s).

NAME OF NOMINEE 3

Share of nominee (%)** Relationship with applicant***

Postal Address***

City State Country Pin

Mobile number*** E-mail***

Proof of Identity*** ☐ Pan ☐ Driving Licence ☐ Aadhar ☐ Passport number in case of NRI/ OCI/ PIO

Identification No*** ****DOB of nominee D D M M Y Y Y Y

Guardian

Date of Birth is mandatory in case of minor nominee(s).

I / We want the details of my / our nominee to be printed in the statement of holding, provided to me/ us by the AMC as follows; (please tick, as appropriate)

☐ Name of nominee(s) ☐ Nomination: Yes/No

** If % is not specified, then the assets shall be distributed equally amongst all the nominees. Any odd lot after division/fraction of %, shall be transferred to the first nominee mentioned in the nomination form.

***** It is mandatory to provide following details.**

1) PAN or Driving licence or last 4 digits of Aadhaar. Copy of the document is not required. However in case of NRI/ OCI/ PIO passport number is acceptable
2) Full contact details of the nominee(s) such residential address, email address, telephone / mobile number
3) Relationship of nominee(s) with the investor

****** To be furnished only in following conditions / circumstances:**

1) Date of Birth (DoB): please provide, only if the nominee is minor.
2) Guardian: It is optional to provide, if the nominee is minor.

UTI Mutual Fund

ACKNOWLEDGEMENT FOR NOMINATION/OPTING-OUT OF NOMINATION

Date: D D M M Y Y Y Y

Received, subject to verification, Form for Nomination/Opting-out of Nomination.

Folio Nos. **from Mr./Mrs./Ms.**

Service/s

Registrar: KFin Technologies Ltd., Unit: UTI Mutual Fund, Selenium Building, Tower - B, Plot No 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Telangana 500032. Email: uti@kfintech.com

Stamp & Signature

DECLARATION FOR OPTING-OUT OF NOMINATION

☐ I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our Mutual Fund Folio and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our Mutual Fund Folio, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the Mutual Fund Folio.

This nomination shall supersede any prior nomination made by me / us, if any.

SIGNATURE OF HOLDER(S)

Name(s) of holder(s)	Signature(s) of holder / Guardian / Thumb Impression
Sole / First Holder (Mr./Ms.)	
Second Holder (Mr./Ms.)	
Third Holder (Mr./Ms.)	

Signature of two witness(es), along with name and address are required, if the account holder affixes thumb impression, instead of wet signature.

Witness Name

Witness Address

Witness Signature

Witness Name

Witness Address

Witness Signature

INSTRUCTIONS FOR NOMINATION

1. Nomination will be updated at folio/account level. Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio/account.
2. The Nominee shall not be a trust (other than a religious or charitable trust}, society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder.
3. Nomination is not allowed for the folios/accounts opened in favour of minors.
4. Nomination form can be signed by the unit holder(s) as per the mode of holding registered in the folio.
5. A minor may be nominated in a folio, DOB is mandatory for minor. In that event, the name and address of the Guardian of the minor nominee needs to be provided.
6. Nomination can also be in favour of Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
7. The nomination can be made only by individuals applying for holding units on their own behalf singly or jointly. Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unit holder cannot nominate.
8. A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
9. Every new nomination for folio/account shall over write the existing nomination, if any.
10. Nomination shall stand rescinded upon the transfer of units.
11. Death of Nominee/s: In the event of the nominee(s} pre-deceasing the unit holder(s}, the unit holder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of nominee(s) pre-deceasing the unit holder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, the said nominee's share will be distributed equally amongst the surviving nominees.
12. Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual Fund/ Trustees against the legal heir(s}.
13. Cancellation of Nomination: Request for cancellation of Nomination can be made only by the unit holders. The nomination shall stand rescinded on cancellation of the nomination and the AMC shall not be under any obligation to transfer/transmit the units in favour of the Nominee.
14. Unit holders who do not wish to nominate are required to confirm the same by indicating their choice in the space provided in the nomination form.
15. In respect of folios/accounts where the Nomination has been registered, the AMC will not entertain any request for transmission/claim settlement from any person other than the registered nominee(s},unless so directed by any competent court.
16. All new investors/unitholders shall continue to be required to mandatorily provide the 'Choice of Nomination MF Folios (except for jointly held Mutual Fund Folios).
17. Nomination shall be mandatory for single holding only. The requirement of nomination shall be optional for jointly held accounts/folios.
18. Investors can nominate up to 3 persons in the account / folio.
19. Power of Attorney (POA) Holders (S) of the investor cannot nominate.
20. Investor can make nomination or change nominee any number of times without any number of times without any restriction.
21. You have option to designate any one of your nominees to operate your account / folio, if case of your physical incapciation. This mandate can be changed any time you choose.

*Joint Accounts:

Event	Transmission of Account / Folio to
Demise of one or more joint holder(s)	Surviving holder(s) through name deletion The surviving holder(s) shall inherit the assets as owners.
Demise of all joint holders simultaneously – having nominee	Nominee
Demise of all joint holders simultaneously – not having nominee	Legal heir(s) of the youngest holder