

Format for providing Nomination

Applicable for Individual Unitholders only (effective from June 01, 2025 to August 31, 2025)

Please read the instructions carefully before filling up this form

Name of the Fund	WhiteOak Capital Mutual Fund		
Folio No(s) having same mode of holding and pattern			
Investor Name			
I/We wish to make a nomination. [As per details given below]			
Nomination Details			
I/We wish to make a nomination and do hereby nominate the following person(s) in the above specified folio(s) who shall receive all the assets held in my / our account in the event of my / our death. This nomination shall supersede any prior nomination made by us/me if any.			
Nomination can be made upto three nominees in the account.	Details of 1st Nominee	Details of 2nd Nominee	Details of 3rd Nominee
Mandatory information			
1	Name of the nominee(s)	Mr./Ms.	Mr./Ms.
2	Share of each Nominee#	%	%
3	Date of Birth (for Minor)	dd-mmm-yyyy	dd-mmm-yyyy
4	Relationship with the Applicant (select one)	☐ Spouse ☐ Father ☐ Mother ☐ Daughter ☐ Son ☐ Others (please specify) _____	☐ Spouse ☐ Father ☐ Mother ☐ Daughter ☐ Son ☐ Others (please specify) _____
5	Nominee/ Guardian (in case of Minor) Identification details [Please tick any one of the following and provide ID Number and no copies required].	☐ PAN _____ ☐ Aadhaar (masked last 4 digits) **** * ☐ Passport (for NRIs/OCIs/PIOs) _____ ☐ Driving License _____	☐ PAN _____ ☐ Aadhaar (masked last 4 digits) **** * ☐ Passport (for NRIs/OCIs/PIOs) _____ ☐ Driving License _____
6	Address of Nominee(s)/ Guardian in case of Minor City / Place: State & Country	Pincode:	Pincode:
7	Mobile of nominee(s)/		

	Guardian in case of Minor			
8	Email ID of nominee(s)/ Guardian in case of Minor			

Non-mandatory details

9	Nominee Guardian Name (in case Nominee is Minor)			
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I / We want the details of my / our nominee to be printed in the statement of holding, provided to me/ us by the AMC / DP as follows; (please tick, as appropriate)

☐ Name of nominee(s) with %
 ☐ Nomination: Yes / No (Default)

This nomination shall supersede any prior nomination made by the account holder(s), if any

Signature(s) – As per mode of holding in demat accounts / MF Folio(s).

	Name of the Holder	Signature / Thumb Impression
Sole / First Holder (Mr./Ms.)	Name:	Signature /Thumb Impression:
	Witness 1 Name & Address:	Witness 1 Signature:
	Witness 2 Name & Address:	Witness 2 Signature:
Second Holder (Mr./Ms.)	Name:	Signature /Thumb Impression:
	Witness 1 Name & Address:	Witness 1 Signature:
	Witness 2 Name & Address:	Witness 2 Signature:
Third Holder (Mr./Ms.)	Name:	Signature /Thumb Impression:
	Witness 1 Name & Address:	Witness 1 Signature:
	Witness 2 Name & Address:	Witness 2 Signature:

** Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature.*

Any odd lot after division shall be assigned / transferred to the first nominee mentioned in the form.

Note:

The Intermediary shall provide acknowledgement of the nomination form to the account holder(s)

Rights, Entitlement and Obligation of the investor and nominee / Instructions

1. If you are opening a new demat account / MF folio, you have to provide nomination. Otherwise, you have to follow the specified procedure for Opt-out
2. You may nominate a maximum of 3 persons, to receive the Units/amounts standing to your credit payable in the event of death of the Unit Holder(s) in respect of investment under a folio.
3. The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly.
4. You can make nomination or change nominee any number of times without any restriction.
5. You are entitled to receive acknowledgement from the AMC / DP for each instance of providing or changing nomination.
6. Non-individuals including a Society, Trust (other than a religious or charitable trust), Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder **cannot nominate**.
7. Nomination is not allowed in a folio where Minor is the unitholder.
8. The signatories for this nomination form in joint folios / account, shall be the same as that of your joint MF folio / demat account. i.e.
 - a. 'Either or Survivor' Folios / Accounts - any one of the holders can sign.
 - b. 'First holder Folios / Accounts – only First Holder can sign.
 - c. 'Jointly' Folios / Accounts - both holders have to sign
9. A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee is to be provided optionally.
10. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
11. The Nominee shall not be a trust, society, body corporate, partnership firm, Karta of Hindu Undivided Family, or a Power of Attorney holder.
12. A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
13. **Multiple Nominees:** Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding upto a total of 100%. If the percentage of allocation/share for each of the nominee is not mentioned, the allocation /claim settlement shall be made equally amongst all the nominees. Any odd lot after division shall be assigned / transferred to the first nominee mentioned in the form.
14. In case of demise of the investor and any one of the nominees, the regulated entities shall distribute the assets pro-rata to the remaining nominees
15. Every new nomination for a folio/account shall overwrite the existing nomination, if any.
16. Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio / account.
17. Nomination shall stand rescinded upon the transfer of units.
18. Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual Fund / Trustees against the legal heir(s).
19. The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
20. In respect of folios/accounts where the Nomination has been registered, the AMC will not entertain any request for transmission / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.
21. Investors should opt for the nomination facility to avoid hassles and inconveniences in case of unforeseen events in future.

Transmission aspects

- Upon demise of the investor, the nominees shall have the option to either continue as joint holders with other nominees or for each nominee(s) to open separate single account / folio.
- In case all your nominees do not claim the assets from the AMC / DP, then the residual unclaimed asset shall continue to be with the AMC in case of MF units and with the concerned Depository in case of Demat account.
- Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall lie against the AMC / DP.
- Death of Nominee/s: In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, the said nominee's share will be distributed on pro-rata basis (as illustrated below) amongst the surviving nominees. Nominee's legal heir cannot claim the assets on behalf of deceased Nominee(s).

% share as specified by investor at the time of nomination		% assets to be apportioned to surviving nominees upon demise of investor and nominee 'A'			
Nominee	% share	Nominee	% initial share	% of A's share to be apportioned	Total % share
A	60%	A	0	0	0
B	30%	B	30%	45%	75%
C	10%	C	10%	15%	25%
Total	100%	-	40%	60%	100%
