

Distributor ARN	Sub-Distributor ARN	Internal Sub-Broker / Sol ID	EUIN	Employee Code	RIA CODE^	PMR (Portfolio Manager's Registration) Number^^	Serial No., Date & Time Stamp

Upfront commission shall be paid directly by the investor to the AMFI registered distributor based on the investor's assessment of various factors including the service rendered by the distributor. ^ I/We, have invested in the scheme(s) of The Wealth Company Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. In respect of my/our investment under Direct Plan of all schemes of The Wealth Company Mutual Fund, to the above-mentioned SEBI Registered Investment Adviser. ^^I/We, have invested in the scheme(s) of The Wealth Company Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all schemes of The Wealth Company Mutual Fund, to the above-mentioned SEBI Registered Portfolio Manager.

☐ I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/ relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

You/ Sole Applicant /Guardian	Second Applicant	Third Applicant	Power of Attorney Holder
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TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS ONLY (Refer Instruction No. 20)

☐ I confirm that I am a first time investor across Mutual Funds. OR ☐ I confirm that I am an existing investor across Mutual Funds.

In case the subscription amount is ₹10,000 or more and your Distributor has opted to receive Transaction Charges, the same are deductible as applicable from the purchase/ subscription amount and payable to the Distributor. Units will be issued against the balance amount invested.

1. APPLICANT DETAILS

Folio No.

 Sole / 1st Unitholder.
 (as in PAN Card / KYC records)

 Guardian's Name
 (as case of minor)

 1st Holder
 PAN

1st Applicant

 2nd Holder
 PAN

2nd Applicant

 3rd Holder
 PAN

3rd Applicant

2. SYSTEMATIC TRANSFER PLAN (STP) (To be submitted at least 4 working days before the 1st due date for transfer).

From Scheme #		Plan	☐ Direct ☐ Regular
Option (tick ✓)	☐ Growth ☐ IDCW Reinvestment ☐ IDCW Payout	IDCW Frequency	
To Scheme		Plan	☐ Direct ☐ Regular
Option (tick ✓)	☐ Growth ☐ IDCW Reinvestment ☐ IDCW Payout	IDCW Frequency	

☐ Systematic Transfer Plan (STP)

(Ref. Instruction 5)

Transfer Frequency (Please tick (✓) any one of the below frequencies)

☐ Daily

☐ Weekly* (Monday To Friday) Day of transfer

☐ Monthly \$ ☐ 1st ☐ 7th ☐ 10th ☐ 15th ☐ 25th

☐ Quarterly \$

☐ Capital Appreciation Systematic Transfer Plan (CapSTP)

(Ref Instruction 6)

Transfer Frequency (Please tick (✓) any one of the below frequencies)

☐ Weekly (Monday To Friday) * Day of transfer

☐ Monthly \$ Please tick (✓) any one ☐ 1st ☐ 7th ☐ 10th ☐ 15th ☐ 25th

☐ Quarterly \$

Please ref instruction no. 12.

Transfer Instalment ₹	No. of Instalments	OR Transfer Period From	(First Instalment)	(Last Instalment)
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Having read and understood the contents of the Scheme Information Document of the Scheme(s), I / we hereby apply for units of the Scheme(s) and agree to abide by the terms, conditions, rules and regulations governing the Scheme(s). I / We hereby declare that the amount invested in the Scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Government of India from time to time. I / We have understood the details of the Scheme(s) & I / we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I / We confirm that the funds invested in the Scheme(s), legally belong to me / us. In the event "Know Your Customer" process is not completed by me / us to the satisfaction of the Mutual Fund, I / we hereby authorise the Mutual Fund, to redeem the funds invested in the Scheme(s), in favour of the applicant, at the applicable NAV prevailing on the date of such redemption and undertake such other action with such funds that may be required by the Law.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

For NRIs only: I / We confirm that I am / we are Non Residents of Indian nationality / origin and that I / we have remitted funds from abroad through approved banking channels or from funds in my / our Non-Resident External / Non-Resident Ordinary / FCNR account.

I / We confirm that details provided by me / us are true and correct.

You/ Sole Applicant /Guardian	Second Applicant	Third Applicant	Power of Attorney Holder
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STP ACKNOWLEDGMENT SLIP (To be filled in by the investor)

Folio No.		Investor Name		Stamp & Signature
From Scheme		To Scheme		
Amount		Frequency		

STP Enrolment Form - Instruction

1. The STP Enrolment Form should be completed in English and in Block Letters only. Please tick in the appropriate box, where boxes have been provided. The STP Enrolment Form complete in all respects, should be submitted at any of the Official Points of Acceptance of The Wealth Company Mutual Fund
2. One STP Enrolment Form can be filled for one Scheme/Plan/Option only.
3. Investors are advised to read the Key Information Memorandum(s) (KIMs) and Scheme Information Document(s) (SIDs) of the Transferee Scheme(s) and Statement of Additional Information (SAI) carefully before investing. The SIDs / KIMs of the respective Scheme(s) and SAI are available with the ISCs of The Wealth Company Mutual Fund, brokers/distributors and also displayed at the The Wealth Company Mutual Fund website i.e. www.wealthcompanyamc.in
4. Unit holders should note that unit holders' details and mode of holding (single, joint, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme, Units will be allotted under the same folio number. Unit holders' names should match with the details in the existing folio number, failing which; the application is liable to be rejected.
5. STP offers unit holders the following two Plans:
 1. Systematic Transfer Plan (STP)
 2. Capital Appreciation Systematic Transfer Plan (CapSTP)Investor's can opt for any of the above facility.
Systematic Transfer Plan (STP) offers transfer facility at Daily, Weekly, Monthly and Quarterly intervals.
Capital Appreciation STP (CapSTP) offers transfer facility at Weekly, Monthly and Quarterly intervals. If no frequency is chosen, Monthly frequency shall be treated as the Default Frequency.
6. Under the CapSTP-Weekly Interval, unit holders will be eligible to transfer the entire capital appreciation amount (minimum ₹ 500) by way of capital appreciation from Monday to Friday.
Monthly Interval, unit holders will be eligible to transfer the entire capital appreciation amount (minimum ₹ 500) by way of capital appreciation on the 1st, 7th, 10th, 15th or 25th of each month.
Under the CapSTP-Quarterly Interval, unit holders will be eligible to transfer the entire capital appreciation amount (minimum ₹ 1,000) by way of capital appreciation on the 1st, 7th, 10th, 15th or 25th of the first month of each quarter. The beginning of the quarter could be of any month e.g. January, March, July, September, etc. Please note that no transfers will take place if there is no minimum capital appreciation amount (except for last transfer leading to closure of account). The capital appreciation, if any, will be calculated from the enrolment date of the CapSTP under the folio, till the first transfer date. Subsequent capital appreciation, if any, will be the capital appreciation between the previous CapSTP date (where CapSTP has been processed and paid) and the next CapSTP date.
7. The provision of 'Minimum Redemption Amount' as specified in the Scheme Information Document(s) of the respective designated Transferor Schemes and 'Minimum Application Amount' specified in the Scheme Information Document(s) of the respective designated Transferee Schemes will not be applicable for STP.
8. Unit holders are required to fill in either the number of instalments OR the enrolment period in the STP Form, failing which the STP shall be registered as per No. of Instalments mentioned.
9. In case Day of Transfer has not been indicated under STP- Weekly frequency, Wednesday shall be treated as Default day
10. In case, the Enrolment Period has been filled, but the STP Date and/or Frequency (Monthly/Quarterly) has not been indicated, Monthly frequency shall be treated as Default frequency and 10th shall be treated as Default Date
11. The application for STP/ Cap STP enrolment - Monthly & Quarterly Interval should be submitted at least 4 working days and not more than 90 days before the desired commencement date.
12. Please refer below table for min. no. of installments and minimum amount per installment:

STP Frequency	STP Frequency	STP Frequency	STP Frequency
Daily	Monday To Friday	1,000/-	6
Weekly	Monday To Friday	1,000/-	6
Monthly	1st, 7th, 10th, 15th or 25th	1,000/-	6
Quarterly	1st, 7th, 10th, 15th or 25th	3,000/-	6

If the Transferee scheme is The Wealth Company Long Term Equity Fund, minimum STP amount is Rs. 500

13. In respect of STP, the Load Structure prevalent at the time of enrolment shall govern the investors during the tenure of the STP.
14. A minimum period of 4 working days shall be required for registration under STP. Units will be allotted/redeemed at the applicable NAV of the respective dates of the Scheme on which such investments/withdrawals are sought from the Scheme.
15. The AMC reserves the right to introduce STPs at any other frequencies or on any other dates as the AMC may feel appropriate from time to time. In the event that such a day is a Holiday, the transfer would be affected on the next Business Day.
16. The requests for discontinuation of STP/CapSTP shall be subject to an advance notice of 4 working days before the next due date for STP.
17. STP will terminate automatically if all Units are liquidated or withdrawn from the account or upon the Funds' receipt of notification of death or incapacity of the Unitholder. Further, in case where the balance amount in a folio is less than the STP/CapSTP amount, the entire amount will be transferred to the transferee scheme.
18. If STP date/day is a non-Business Day, then the next Business Day shall be the STP Date / Day and the same will be considered for the purpose of determining the applicability of NAV.
19. The Trustee reserves the right to change/modify the terms and conditions of the STP. For the updated terms and conditions of STP, contact the nearest ISC or visit our website www.wealthcompanyamc.in