

SIP SWITCH REQUEST FORM

Distributor ARN	Sub-Distributor ARN	Internal Sub-Broker / Sol ID	Employee Code	EUIN	RIA CODE^	Serial No., Date & Time Stamp
ARN	ARN			E		

^I/We, have invested in the scheme(s) of Axis Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/ portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan(s) of all the below mentioned scheme(s) of Axis Mutual Fund, to the above mentioned SEBI Registered Investment Adviser.

☐ "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker."

First / Sole Applicant /
Guardian

Second Applicant

Third Applicant

Power of Attorney Holder

1 APPLICANT'S PERSONAL DETAILS (MANDATORY)

Folio No.

Sole/1st Unitholder		First Name		Middle Name		Last Name	
Guardian Name							
PAN		1st Applicant		2nd Applicant		3rd Applicant	

2 SIP SWITCH DETAILS

SIP SWITCH-OUT SCHEME DETAILS (EXISTING SIP) (Ref. instruction 13)

Scheme Name		Plan		Option	
SIP due date (DD)		SIP Amount (figures) ₹		(words)	

SIP SWITCH-IN SCHEME DETAILS (NEW SIP) (Ref. instruction 14)

Scheme Name		Plan		Option	
SIP switch effective date (MMYY)		(Ref. instruction 7)			

Note: SIP Switch will be considered as termination of Existing SIP (switch-out scheme) and subscription of New SIP (Switch-in scheme). Existing units from the Switch-out scheme will not be moved to Switch-in scheme

3 DECLARATION AND SIGNATURE (To be signed by ALL UNIT HOLDERS if mode of holding is 'joint')

I have read and understood the terms, conditions, details, rules and regulations governing the respective scheme. I/We hereby declare that the amount invested in the scheme is through legitimate source only and does not involve designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directives of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Government of India from time to time. I/we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/We confirm that the funds invested in the Scheme, legally belongs to me/us. The ARN holder has disclosed to me/us all the commissions (trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds amongst which the Scheme is being recommended to me/us.

I / We authorise Axis Mutual Fund acting through its service providers to continue to debit my / our bank account towards payment of SIP instalments through an Electronic Debit arrangement / NACH (National Automated Clearing House). If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/we would not hold the user institution responsible. I/We will also inform Axis Mutual Fund about any changes in my bank account. This is to inform you that I/We have registered for making payment towards my investments in AXISMF by debit to my / our account directly or through ECS (Debit Clearing) / NACH (National Automated Clearing House).

For NRIs only - I / We confirm that I am / we are Non Residents of Indian nationality/origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/ our Non Resident External / Non Resident Ordinary / FCNR account.

I/We confirm that details provided by me/us are true and correct.

X	Sole/ 1st Unit Holder / POA	X	2nd Unit Holder	X	3rd Unit Holder
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ACKNOWLEDGMENT SLIP (To be filled by the investor)

Folio No.		Investor Name	
Switch-out Scheme			
Switch-in to scheme			
Switch from date			

Stamp & Signature

INSTRUCTIONS FOR SIP SWITCH

1. The Application Form should be completed in ENGLISH and in BLOCK LETTERS only.
2. Any over-writing / changes made while filling the form must be authenticated by cancelling the original entry, re-entering correct details and ensuring that all applicants counter-sign against each correction.
3. Application forms along with supporting documents can be submitted to ISCs / OPAs, contact details of which are available on www.axismf.com.
4. Investors subscribing under Direct Plan of the scheme will have to indicate "Direct Plan" against the scheme name in the application form e.g. "Axis Equity Fund - Direct Plan". Investors should also indicate "Direct" in the ARN column of the application form. However, in case Distributor code is mentioned in the application form, but "Direct Plan" is indicated against the scheme name, the application will be processed under Direct Plan. Further, where application is received for Existing Plan without Distributor code or "Direct" mentioned in the ARN Column, the application will be processed under Direct Plan.
5. Investor investing through distributor shall mention EUIN on the application form, if he/she has been advised by Sales Person/ Employee/ Relationship Manager of the distributor this would assist in addressing any instance of mis-selling. If left blank, applicant(s) need to tick and sign the following declaration "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker." on the form. SEBI has made it mandatory to obtain EUIN no. for every employee/ relationship manager/ sales person of the distributor for selling mutual fund products.
6. The allotment of units of Switch-in scheme shall be in the same folio.
7. Investor must submit SIP Switch application at least 21 days before the SIP due date and the gap between the application date and the SIP due date should not be more than 90 days.
8. If any of the required information is missing on the request form, the application is liable for rejection.
9. Investors are advised to read the SID and SAI of both, Switch-Out Scheme and Switch-In Scheme, carefully before investing. The SIDs / KIM of the respective Scheme and SAI are available with the ISCs of Axis Mutual Fund, brokers/distributors and also displayed at the Axis Mutual Fund website i.e. www.axismf.com.
10. SIP in Switch-in scheme will be subject to the terms of offering specified in the Scheme Information Document of Switch-in scheme.
11. SIP switch is not available for Axis Children's Gift Fund, Axis Liquid Fund, and Gold ETF and during NFO.
12. SIP Switch will be considered as termination of SIP in Switch-out scheme and subscription of SIP in Switch-in scheme.
13. The amount of installment, date and frequency of SIP and SIP end date of Switch-out scheme shall remain same under Switch-in scheme.
14. SIP Switch Facility is available for changing SIP investment mandate from one scheme to another specified scheme.
15. SIP Switch Facility can be availed only after completion of minimum installments specified for SIP registration in the Switch-out (existing) scheme, i.e. 12 months for all schemes and 6 months for Axis Long Term Equity Fund.
16. SIP Switch cannot be requested if the Switch-Out Scheme SIP installments are completed/ cancelled/ terminated.
17. SIP registration end date should ensure compliance of minimum SIP installments prescribed in Switch-in scheme.
18. Application will be accepted subject to the minimum amount per installment and minimum number of installments as per the scheme. Please refer to SID / KIM of the Switch-In scheme for more details.
 - a. If the remaining no. of installments in the switch-out scheme is lesser than the minimum no. of installments required for the switch-in scheme, the application is liable for rejection, i.e. 12 months for all schemes and 6 months for Axis Long Term Equity Fund.
 - b. If the SIP amount on the switch-out scheme is lesser than the minimum amount required for the switch-in scheme, the application is liable for rejection.
19. Existing unit holders should note that unit holders' details and mode of holding (single, jointly, anyone or survivor) will be as per the existing Account.
20. SIP Switch Facility is not available for SIP subscribed with post dated cheques.
21. SIP Switch Facility is not available for SIPs registered through exchange and channel partners.
22. Axis Mutual Fund reserves the right to reject any application without assigning any reason thereof. Axis Mutual Fund in consultation with Trustees reserves the right to withdraw these offerings, modify the procedure, frequency, dates, load structure in accordance with the SEBI Regulations and any such change will be applicable only to units transacted pursuant to such change on a prospective basis.
23. **FOREIGN ACCOUNT TAX COMPLIANCE (FATCA):**
FATCA & CRS TERMS & CONDITIONS : Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income- tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.
 Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.
 Please note that you may receive more than one request for information if you have multiple relationships with Axis Mutual Fund or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.
FATCA & CRS INSTRUCTIONS: If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number.
 It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.
 SEBI issued its circular no. CIR/MIRSD/2/2015 dated August 26, 2015 inter alia advising intermediaries to take necessary steps to ensure compliance with the requirements specified in the rules and guidelines specified by the Government of India. AMFI also issued its best practices guidelines circular no. 63/2015-16 dated September 18, 2015 on this matter. The AMC and the Mutual Fund are required to adhere to various requirements inter alia including submission of various information / details relating to the investors in the schemes of the mutual fund, to authorities, as specified under the applicable laws. Accordingly, the following aspects need to be adhered to:
 With effect from November 1, 2015 all investors will have to mandatorily provide the information and declarations pertaining to FATCA/CRS for all new accounts opened, failing which the application / transaction request shall be liable to be rejected.
 - For all new accounts opened by investors, from September 1, 2015 till October 31, 2015, Mfs need to obtain relevant FATCA / CRS declarations. These details / certification need to be obtained by December 31, 2015, particularly in cases where, after Indicia search, a positive match is found with any US indicia. If self-certification is not provided by an investor or the reasonableness of self-certification cannot be confirmed, the account is treated as reportable.
 - For accounts opened between July 1, 2014 and August 31, 2015 and certain pre - existing accounts opened till June 30, 2014, the AMC is reaching out to such investors to seek the requisite information/ declaration which has to be submitted by the investors before specified timelines. In case the information/declaration is not received from the investor on or before the specified timelines, the account shall be treated as reportable.

Investors are requested to provide all the necessary information / declarations to facilitate compliance, considering India's commitment to implement CRS and FATCA under the relevant international treaties.