

NON-FINANCIAL REQUESTS FORM (1/2)

Please read instructions carefully. Please strike of sections that are not applicable.



PGIM
India Mutual Fund

Folio No.

Date

Name of 1st Unitholder: PAN¹

Name of 2nd Unitholder: PAN

Name of 3rd Unitholder: PAN

¹Please mention Guardian PAN if the 1st unitholder is below 18 years of age.

PAN / KYC			
Please enclose: ☐ Self attested copy of PAN card ☐ KYC Acknowledgement			
1st Unitholder / Guardian		2nd Unitholder	
3rd Unitholder			
PAN			

FATCA & CRS INFORMATION (For Individual Investors including Sole Proprietor. For Non-resident Individual and Non-individual investors should mandatorily fill separate FATCA form)

1st Unitholder	2nd Unitholder	3rd Unitholder	Guardian /POA
Place of Birth			
Country of Birth (✓) ☐ India ☐ Others	☐ India ☐ Others	☐ India ☐ Others	☐ India ☐ Others
Nationality (✓) ☐ Indian ☐ Others	☐ Indian ☐ Others	☐ Indian ☐ Others	☐ Indian ☐ Others
Are you a tax resident of any country other than India (✓) ☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No
If Yes: Mandatory to enclose FATCA / CRS Annexure			

ADDITIONAL KYC INFORMATION (Please ✓ whichever is applicable)

Occupation ☐ Private Sector Service ☐ Public Sector Service ☐ Government Service ☐ Professional ☐ Agriculturist ☐ Retired ☐ Home Maker ☐ Student ☐ Forex Dealer ☐ Others
The applicant is ☐ a Politically Exposed Person ☐ Related to a Politically Exposed Person ☐ Neither (Not applicable)
Gross Annual Income ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ 25 Lacs-1 crore ☐ >1 crore
☐ AUTO RENEWAL FOR EXISTING SIP REGISTRATION

Scheme / Plan	Growth	IDCW Payout	IDCW Reinvestment	Till Date
PGIM INDIA ☐ Regular ☐ Direct	☐	☐	☐	DD/MM/YYYY
PGIM INDIA ☐ Regular ☐ Direct	☐	☐	☐	DD/MM/YYYY
PGIM INDIA ☐ Regular ☐ Direct	☐	☐	☐	DD/MM/YYYY

* Auto renewal SIP option is available for SIP registered upto 20 years. * If the Auto Renewal period is not specified, the default period previously selected will be applied. * Investor must verify the validity of the NACH Mandate at the time of SIP registration and opting for Auto Renewal facility. * Feature applicable only for Physical and Digital mode of registrations.

CONTACT DETAILS ☐ 1st Unitholder ☐ 2nd Unitholder ☐ 3rd Unitholder

Mobile	Email
Mobile No. provided pertains to: (Please ✓) ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA	Email ID provided pertains to: (Please ✓) ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

BANK DETAILS ☐ Change Primary Bank ☐ Add New Bank

Bank A/c No.	Account Type (Please ✓) ☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ Others
Bank Name	Bank City
IFSC (11 digits)	MICR (9 digits)
New bank details (Attach any one of the following) (✓) ☐ A cancelled original cheque leaf/self attested copy of cancelled cheque. (Name of the first holder should be printed on the face of the cheque leaf) ☐ Self attested photocopy of bank passbook or bank account statement (Having entries not older than 3 months)	

REISSUANCE OF STALE INSTRUMENTS ☐ Please enclose the Stale Instrument

Instrument No.	Instrument Date	Amount
☐ I/We request you to reissue the above instrument after necessary revalidation without change in bank details.		
☐ I/We request you to cancel the above instrument and credit the sum to my bank details registered in the folio indicated above.		

NOMINATION DETAILS ☐ Register¹ a Nominee for our investments ☐ Modify¹ nomination registered in the folio ☐ Cancel nomination registered in the folio

Nominee Name	
Address	
Date of Birth (Incase of Minor) Relationship with applicant Nominee (%)	
Name of Guardian (non-mandatory)	
Mobile Number Email ID	
Identification No. ☐ PAN ☐ Aadhar (last 4 digits) ☐ Driving License ☐ Passport (must be provided for NRIs) ☐ ID Number	
I / We want the details of my / our nominee to be printed in the statement of holding, provided to me/ us by the AMC as follows:	
☐ Name of nominee(s) ☐ Nomination: Yes / No	
☐ Declare that I / We do not wish to appoint a nominee for our investments in the above folio. I / We understand that in the event of death of all unitholder(s) in the folio, the legal heirs for the unit holders would need to submit all requisite documents for transmitting the units in favour of the legal heir based on applicable legal / regulatory requirements at the stage of initiation of the transmission request.	

SIGNATURE(S)	1st Unitholder/Guardian/POA	2nd Unitholder	3rd Unitholder
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To be signed by (a) Guardian in case of unit holders below 18 years of age; (b) Power of Attorney holder for investments made under a power of attorney; (c) Authorised Signatories in case of non-individual unit holders; (d) Request for nominee registration/cancellation has to be signed by all the unit holders.

I / We hereby read and understood the terms and conditions of the SID and SAI of the PGIM India Mutual Fund.

NON-FINANCIAL REQUESTS FORM (2/2)

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PGIM
India Mutual Fund

Folio No.

Name of 1st Holder: PAN

CHANGE IN MODE OF HOLDING (to be signed by all unit holders mandatorily)

We would like to change our mode of holding as: ☐ Joint to Anyone or Survivor ☐ Anyone or Survivor to Joint

CONSOLIDATION OF FOLIOS

I / We wish to consolidate all my / our investments in the below folios into the target folio.

Folios to be consolidated (Source Folios):

1. 2. 3.

Target folio [MANDATORY] : This folio has to be one of the source folios.

I/We, agree that name of unitholders, mode & order of holding, tax status, bank mandate, postal address, nominee details are identical in all folios and post consolidation, same will be applicable under requested Target Folio. Please note that your information in the target folio will over-ride any information provided in the source folio. Please note, active folios under special facilities such as Dynamic Asset Allocation Facility & Agelinked Asset Allocation cannot be consolidated.

CHANGE IN TAX STATUS

☐ Resident Indian to Non Resident Indian ☐ Non Resident Indian to Resident Indian

Overseas Address: (Mandatory in case of change in status from Resident Indian to Non-Resident Indian. Should be same as in KRA records)

Bank Account Details (To be mandatorily provided if investor has given change in tax status from RI to NRI or vice versa)

Bank A/c No.

Account Type (Please ☒) ☐ Savings ☐ NRE ☐ NRO ☐ Others (please specify)

Bank Name

IFSC (11 digits)

MICR Code (9 digits)

Please ensure the name in this application form and in your bank account are the same. Please update your IFSC and MICR Code in order to get payouts via electronic mode in to your bank account.

SIP PAUSE

Scheme **PGIM INDIA** Plan (☒) ☐ Regular ☐ Direct

Option (☒) ☐ Growth ☐ IDCW Payout ☐ IDCW Reinvestment

SIP Amount SIP Date SIP Frequency (☒) ☐ Monthly ☐ Quarterly

I / We would like to pause my/our SIP instalments as per details below (☒)

☐ 1 month ☐ 2 months ☐ 3 months ☐ 1 quarter (Quarterly SIPs can be paused only for 1 quarter).

Starting . The SIP Pause request should be submitted atleast 15 days prior to the next SIP date.

CANCELLATION OF REGISTRATION FOR SYSTEMATIC TRANSACTIONS

[^]Please provide reason for cancellation of SIP

[^]Reason for cancellation of SIP: ☐ Non availability of funds ☐ Scheme not performing ☐ Service Issue ☐ Load Revised

☐ Wish to invest in other schemes ☐ Change in Fund Manager ☐ Goals Achieved ☐ Not comfortable with Market Volatility

I / We would like to cancel my/our registration for ☐ SIP[@] ☐ STP^{\$} ☐ SWP^{\$} ☐ Auto Renewal SIP as per details below :

From Scheme **PGIM INDIA** Plan (☒) ☐ Regular ☐ Direct

Option (☒) ☐ Growth ☐ IDCW Payout ☐ IDCW Reinvestment

#To Scheme **PGIM INDIA** Plan (☒) ☐ Regular ☐ Direct

Option (☒) ☐ Growth ☐ IDCW Payout ☐ IDCW Reinvestment

[#]Applicable only for STP

Installment Date

Installment Amount

Frequency (☒) ☐ Daily* ☐ Weekly* ☐ Monthly ☐ Quarterly ☐ Annual

*Daily & Weekly frequency is applicable only for STP.
Annual facility available only for SWP.

[@] The discontinuation of SIP request should receive at least 21 business days prior to the next due date of the SIP.

^{\$} The discontinuation of STP & SWP request should receive at least 7 calendar days.

SIP/STP/SWP/Auto Renewal SIP registered through exchange platform, MF Utility, other online platform will not be eligible to avail this facility.

CHANGE OF IDCW^{\$} OPTION

Scheme **PGIM INDIA** Plan (☒) ☐ Regular ☐ Direct

Option (☒) ☐ IDCW Payout ☐ IDCW Reinvestment

I / We would like to change my/our IDCW Preference as indicated below :

☐ IDCW Payout to IDCW Reinvestment ☐ IDCW Reinvestment to IDCW Payout

^{\$} IDCW stands for Income Distribution cum Capital Withdrawal. Earlier called Dividend option

SIGNATURE(S)

1st Unitholder/Guardian/POA

2nd Unitholder

3rd Unitholder

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I / We hereby read and understood the terms and conditions of the SID and SAI of the PGIM India Mutual Fund.



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Investor Helpline: 1800 209 7446

(Mon - Fri) from 9.30 AM to 6.30 PM.